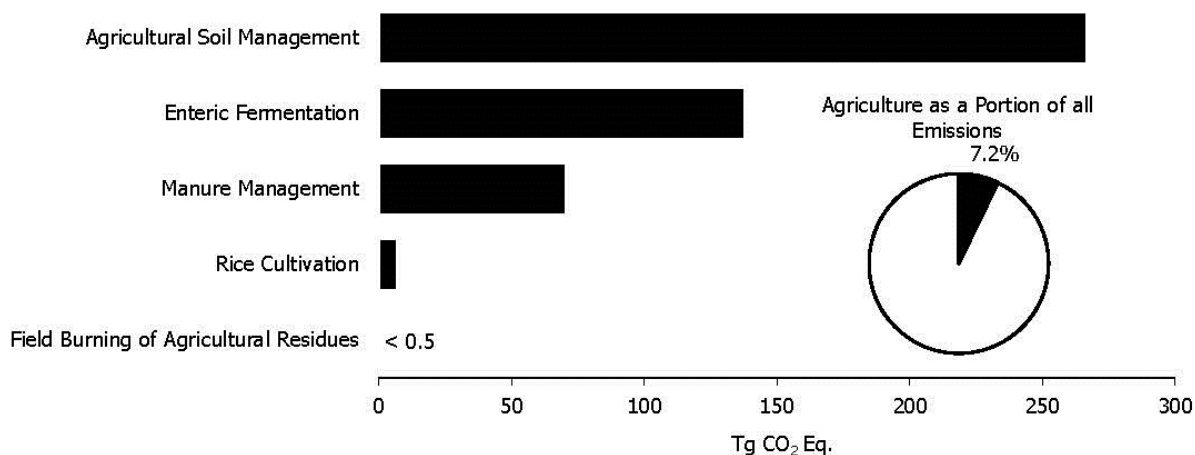


## 6. Agriculture

Agricultural activities contribute directly to emissions of greenhouse gases through a variety of processes. This chapter provides an assessment of non-carbon-dioxide emissions from the following source categories: enteric fermentation in domestic livestock, livestock manure management, rice cultivation, agricultural soil management, and field burning of agricultural residues (see Figure 6-1). Carbon dioxide (CO<sub>2</sub>) emissions and removals from agriculture-related land-use activities, such as liming of agricultural soils and conversion of grassland to cultivated land, are presented in the Land Use, Land-Use Change, and Forestry chapter. Carbon dioxide emissions from on-farm energy use are accounted for in the Energy chapter.

Figure 6-1: 2011 Agriculture Chapter Greenhouse Gas Emission Sources



In 2011, the Agriculture sector was responsible for emissions of 480.8 teragrams of CO<sub>2</sub> equivalents (Tg CO<sub>2</sub> Eq.), or 7.2 percent of total U.S. greenhouse gas emissions. Methane (CH<sub>4</sub>) and nitrous oxide (N<sub>2</sub>O) were the primary greenhouse gases emitted by agricultural activities. Methane emissions from enteric fermentation and manure management represent about 24 percent and 9 percent of total CH<sub>4</sub> emissions from anthropogenic activities, respectively. Of all domestic animal types, beef and dairy cattle were by far the largest emitters of CH<sub>4</sub>. Rice cultivation and field burning of agricultural residues were minor sources of CH<sub>4</sub>. Agricultural soil management activities such as fertilizer application and other cropping practices were the largest source of U.S. N<sub>2</sub>O emissions, accounting for 71 percent. Manure management and field burning of agricultural residues were also small sources of N<sub>2</sub>O emissions.

Table 6-1 and Table 6-2 present emission estimates for the Agriculture sector. Between 1990 and 2011, CH<sub>4</sub> emissions from agricultural activities increased by 14.4 percent, while N<sub>2</sub>O emissions fluctuated from year to year, but overall increased by 9.6 percent.

Table 6-1: Emissions from Agriculture (Tg CO<sub>2</sub> Eq.)

Gas/Source	1990	2005	2007	2008	2009	2010	2011
<b>CH<sub>4</sub></b>	<b>171.5</b>	<b>191.5</b>	<b>200.5</b>	<b>200.3</b>	<b>198.6</b>	<b>199.9</b>	<b>196.3</b>
Enteric Fermentation	132.7	137.0	141.8	141.4	140.6	139.3	137.4
Manure Management	31.5	47.6	52.4	51.5	50.5	51.8	52.0
Rice Cultivation	7.1	6.8	6.2	7.2	7.3	8.6	6.6
Field Burning of Agricultural Residues	0.2	0.2	0.2	0.2	0.2	0.2	0.2
<b>N<sub>2</sub>O</b>	<b>259.7</b>	<b>270.5</b>	<b>295.1</b>	<b>288.7</b>	<b>284.2</b>	<b>286.5</b>	<b>284.6</b>
Agricultural Soil Management	245.3	253.3	277.0	270.8	266.4	268.7	266.5
Manure Management	14.4	17.1	18.0	17.8	17.7	17.8	18.0
Field Burning of Agricultural Residues	0.1	0.1	0.1	0.1	0.1	0.1	0.1

<b>Total</b>	<b>431.2</b>	<b>462.0</b>	<b>495.6</b>	<b>489.0</b>	<b>482.8</b>	<b>486.4</b>	<b>480.8</b>
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Note: Totals may not sum due to independent rounding.

Table 6-2: Emissions from Agriculture (Gg)

<b>Gas/Source</b>	<b>1990</b>	<b>2005</b>	<b>2007</b>	<b>2008</b>	<b>2009</b>	<b>2010</b>	<b>2011</b>
<b>CH<sub>4</sub></b>	<b>8,169</b>	<b>9,121</b>	<b>9,550</b>	<b>9,537</b>	<b>9,456</b>	<b>9,519</b>	<b>9,345</b>
Enteric Fermentation	6,321	6,522	6,751	6,731	6,693	6,632	6,542
Manure Management	1,499	2,265	2,493	2,452	2,403	2,466	2,478
Rice Cultivation	339	326	295	343	349	410	316
Field Burning of Agricultural Residues	10	8	11	11	11	11	10
<b>N<sub>2</sub>O</b>	<b>838</b>	<b>873</b>	<b>952</b>	<b>931</b>	<b>917</b>	<b>924</b>	<b>918</b>
Agricultural Soil Management	791	817	894	874	859	867	860
Manure Management	46	55	58	57	57	57	58
Field Burning of Agricultural Residues	+	+	+	+	+	+	+

+ Less than 0.5 Gg.

Note: Totals may not sum due to independent rounding.

### 6.1. Enteric Fermentation (IPCC Source Category 4A)

Methane is produced as part of normal digestive processes in animals. During digestion, microbes resident in an animal's digestive system ferment food consumed by the animal. This microbial fermentation process, referred to as enteric fermentation, produces CH<sub>4</sub> as a byproduct, which can be exhaled or eructated by the animal. The amount of CH<sub>4</sub> produced and emitted by an individual animal depends primarily upon the animal's digestive system, and the amount and type of feed it consumes.

Ruminant animals (e.g., cattle, buffalo, sheep, goats, and camels) are the major emitters of CH<sub>4</sub> because of their unique digestive system. Ruminants possess a rumen, or large "fore-stomach," in which microbial fermentation breaks down the feed they consume into products that can be absorbed and metabolized. The microbial fermentation that occurs in the rumen enables them to digest coarse plant material that non-ruminant animals cannot. Ruminant animals, consequently, have the highest CH<sub>4</sub> emissions among all animal types.

Non-ruminant animals (e.g., swine, horses, and mules) also produce CH<sub>4</sub> emissions through enteric fermentation, although this microbial fermentation occurs in the large intestine. These non-ruminants emit significantly less CH<sub>4</sub> on a per-animal basis than ruminants because the capacity of the large intestine to produce CH<sub>4</sub> is lower.

In addition to the type of digestive system, an animal's feed quality and feed intake also affect CH<sub>4</sub> emissions. In general, lower feed quality and/or higher feed intake leads to higher CH<sub>4</sub> emissions. Feed intake is positively correlated to animal size, growth rate, and production (e.g., milk production, wool growth, pregnancy, or work). Therefore, feed intake varies among animal types as well as among different management practices for individual animal types (e.g., animals in feedlots or grazing on pasture).

Methane emission estimates from enteric fermentation are provided in Table 6-3 and Table 6-4.

Total livestock CH<sub>4</sub> emissions in 2011 were 137.4 Tg CO<sub>2</sub> Eq. (6,542 Gg). Beef cattle remain the largest contributor of CH<sub>4</sub> emissions from enteric fermentation, accounting for 72 percent in 2011. Emissions from dairy cattle in 2011 accounted for 24 percent, and the remaining emissions were from horses, sheep, swine, goats, American bison, mules, burros, and donkeys.

From 1990 to 2011, emissions from enteric fermentation have increased by 3.5 percent, and generally follow trends in cattle populations, although while emissions from beef cattle increased 3 percent from 1990 to 2011, production of beef increased 16 percent, and while dairy emissions increased 5 percent over the entire time series, milk production increased 33 percent. This indicates that while emission factors per head are increasing, emission factors per unit of product are going down. Generally, from 1990 to 1995 emissions increased and then decreased from 1996 to 2001. These trends were mainly due to fluctuations in beef cattle populations and increased digestibility of feed for feedlot cattle. Emissions generally increased from 2002 to 2007, though with a slight decrease in 2004., as both dairy and beef populations underwent increases and the literature for dairy cow diets indicated a trend toward a decrease in feed digestibility for those years. Emissions decreased again from 2008 to 2011 as beef cattle

populations again decreased. Regarding trends in other animals, during the timeframe of this analysis, populations of sheep have decreased 52 percent while horse populations have more than doubled, with each annual increase ranging from about 2 to 6 percent. Goat and swine populations have increased 25 percent and 22 percent, respectively, during this timeframe, though with some slight annual decreases. The populations of American bison and mules, burros, and donkeys have more than tripled and quadrupled, respectively.

Table 6-3: CH<sub>4</sub> Emissions from Enteric Fermentation (Tg CO<sub>2</sub> Eq.)

Livestock Type	1990	2005	2007	2008	2009	2010	2011
Beef Cattle	96.2	101.4	104.0	103.1	102.0	101.0	98.8
Dairy Cattle	31.8	30.4	32.4	32.9	33.2	33.0	33.3
Swine	1.7	1.0	2.1	2.1	2.1	2.0	2.1
Horses	0.8	1.5	1.5	1.6	1.6	1.6	1.6
Sheep	1.9	1.9	1.0	1.0	1.0	0.9	0.9
Goats	0.3	0.3	0.3	0.3	0.3	0.3	0.3
American Bison	0.1	0.4	0.3	0.4	0.3	0.3	0.3
Mules, Burros, and Donkeys	+	+	0.1	0.1	0.1	0.1	0.1
<b>Total</b>	<b>132.7</b>	<b>137.0</b>	<b>141.8</b>	<b>141.4</b>	<b>140.6</b>	<b>139.3</b>	<b>137.4</b>

Notes: + Does not exceed 0.05 Tg CO<sub>2</sub> Eq. Totals may not sum due to independent rounding.

Table 6-4: CH<sub>4</sub> Emissions from Enteric Fermentation (Gg)

Livestock Type	1990	2005	2007	2008	2009	2010	2011
Beef Cattle	4,581	4,829	4,953	4,909	4,857	4,810	4,705
Dairy Cattle	1,513	1,449	1,544	1,564	1,581	1,569	1,585
Swine	81	92	98	101	99	97	98
Horses	39	70	73	74	75	77	78
Sheep	91	49	49	48	46	45	44
Goats	13	14	16	16	16	16	16
American Bison	4	17	16	17	17	16	13
Mules, Burros, and Donkeys	1	2	3	3	3	3	3
<b>Total</b>	<b>6,321</b>	<b>6,522</b>	<b>6,751</b>	<b>6,731</b>	<b>6,693</b>	<b>6,632</b>	<b>6,542</b>

Note: Totals may not sum due to independent rounding.

## Methodology

Livestock emission estimate methodologies fall into two categories: cattle and other domesticated animals. Cattle, due to their large population, large size, and particular digestive characteristics, account for the majority of CH<sub>4</sub> emissions from livestock in the United States. A more detailed methodology (i.e., IPCC Tier 2) was therefore applied to estimate emissions for all cattle. Emission estimates for other domesticated animals (horses, sheep, swine, goats, American bison, and mules, burros, and donkeys) were handled using a less detailed approach (i.e., IPCC Tier 1).

While the large diversity of animal management practices cannot be precisely characterized and evaluated, significant scientific literature exists that provides the necessary data to estimate cattle emissions using the IPCC Tier 2 approach. The Cattle Enteric Fermentation Model (CEFM), developed by EPA and used to estimate cattle CH<sub>4</sub> emissions from enteric fermentation, incorporates this information and other analyses of livestock population, feeding practices, and production characteristics.

National cattle population statistics were disaggregated into the following cattle sub-populations:

- Dairy Cattle
  - Calves
  - Heifer Replacements

- Cows
- Beef Cattle
  - Calves
  - Heifer Replacements
  - Heifer and Steer Stockers
  - Animals in Feedlots (Heifers and Steer)
  - Cows
  - Bulls

Calf birth rates, end-of-year population statistics, detailed feedlot placement information, and slaughter weight data were used to create a transition matrix that models cohorts of individual animal types and their specific emission profiles. The key variables tracked for each of the cattle population categories are described in Annex 3.9. These variables include performance factors such as pregnancy and lactation as well as average weights and weight gain. Annual cattle population data were obtained from the U.S. Department of Agriculture's (USDA) National Agricultural Statistics Service (NASS) QuickStats database (USDA 2012).

Diet characteristics were estimated by region for U.S. dairy, foraging beef, and feedlot beef cattle. These estimates were used to calculate digestible energy (DE) values (expressed as the percent of gross energy intake digested by the animal) and CH<sub>4</sub> conversion rates (Y<sub>m</sub>) (expressed as the fraction of gross energy converted to CH<sub>4</sub>) for each population category. The IPCC recommends Y<sub>m</sub> ranges of 3.0±1.0 percent for feedlot cattle and 6.5±1.0 percent for other well-fed cattle consuming temperate-climate feed types (IPCC 2006). Given the availability of detailed diet information for different regions and animal types in the United States, DE and Y<sub>m</sub> values unique to the United States were developed. The diet characterizations and estimation of DE and Y<sub>m</sub> values were based on information from state agricultural extension specialists, a review of published forage quality studies and scientific literature, expert opinion, and modeling of animal physiology.

The diet characteristics for dairy cattle were based on Donovan (1999) and an extensive review of nearly 20 years of literature from 1990 through 2009. Estimates of DE were national averages based on the feed components of the diets observed in the literature for the following year groupings: 1990-1993, 1994-1998, 1999-2003,<sup>180</sup> 2004-2006, 2007, and 2008 onwards. Base year Y<sub>m</sub> values by region were estimated using Donovan (1999). A ruminant digestion model (COWPOLL, as selected in Kebreab et al. 2008) was used to evaluate Y<sub>m</sub> for each diet evaluated from the literature, and a function was developed to adjust regional values over time based on the national trend. Dairy replacement heifer diet assumptions were based on the observed relationship in the literature between dairy cow and dairy heifer diet characteristics.

For feedlot animals, the DE and Y<sub>m</sub> values used for 1990 were recommended by Johnson (1999). Values for DE and Y<sub>m</sub> for 1991 through 1999 were linearly extrapolated based on the 1990 and 2000 data. DE and Y<sub>m</sub> values for 2000 onwards were based on survey data in Galyean and Gleghorn (2001) and Vasconcelos and Galyean (2007).

For grazing beef cattle, Y<sub>m</sub> values were based on Johnson (2002), DE values for 1990 through 2006 were based on specific diet components estimated from Donovan (1999), and DE values from 2007 onwards were developed from an analysis by Archibeque (2011), based on diet information in Preston (2010) and USDA:APHIS:VS (2010). Weight and weight gains for cattle were estimated from Holstein (2010), Doren et al. (1989), Enns (2008), Lippke et al. (2000), Pinchack et al. (2004), Platter et al. (2003), Skogerboe et al. (2000), and expert opinion. See Annex 3.9 for more details on the method used to characterize cattle diets and weights in the United States.

To estimate CH<sub>4</sub> emissions from all cattle types except calves 6 months and younger,<sup>181</sup> the population was divided into state, age, sub-type (i.e., dairy cows and replacements, beef cows and replacements, heifer and steer stockers, heifers and steers in feedlots, and bulls), and production (i.e., pregnant, lactating) groupings to more fully capture

<sup>180</sup> Due to inconsistencies in the 2003 literature values, the 2002 values were used for 2003, as well.

<sup>181</sup> Because calves consume mainly milk and the IPCC recommends the use of a methane conversion factor of zero for all juveniles consuming only milk, this results in no methane emissions from this subcategory of cattle.

differences in CH<sub>4</sub> emissions from these animal types. The transition matrix was used to simulate the age and weight structure of each sub-type on a monthly basis, to more accurately reflect the fluctuations that occur throughout the year. Cattle diet characteristics were then used in conjunction with Tier 2 equations from IPCC (2006) to produce CH<sub>4</sub> emission factors for the following cattle types: dairy cows, beef cows, dairy replacements, beef replacements, steer stockers, heifer stockers, steer feedlot animals, heifer feedlot animals, and bulls. To estimate emissions from cattle, monthly population data from the transition matrix were multiplied by the calculated emission factor for each cattle type. More details are provided in Annex 3.9.

Emission estimates for other animal types were based on average emission factors representative of entire populations of each animal type. Methane emissions from these animals accounted for a minor portion of total CH<sub>4</sub> emissions from livestock in the United States from 1990 through 2011. Also, the variability in emission factors for each of these other animal types (e.g., variability by age, production system, and feeding practice within each animal type) is less than that for cattle. Annual livestock population data for sheep, swine, and horses were obtained for all years from USDA NASS (USDA 2012). Horse data were not available before the 1997 census and beyond the 2007 census, so the available data were extrapolated back for 1990 through 1996 and forward for 2008 through 2011. Data between census years were interpolated between the available data points. Goat and mule, burro, and donkey population data were available for 1987, 1992, 1997, 2002, and 2007 (USDA 1992, 1997, 2012); the remaining years between 1990 and 2011 were interpolated and extrapolated from the available estimates. American bison population estimates were available from USDA for 2002 and 2007 (USDA 2012) and from the National Bison Association (1999) for 1997 through 1999. Additional years were based on observed trends from the National Bison Association (1999), interpolation between known data points, and ratios of population to slaughter statistics (USDA 2012), as described in more detail in Annex 3.9. Methane emissions from sheep, goats, swine, horses, American bison, and mules, burros, and donkeys were estimated by using emission factors utilized in Crutzen et al. (1986, cited in IPCC 2006). These emission factors are representative of typical animal sizes, feed intakes, and feed characteristics in developed countries. For American bison the emission factor for buffalo was used and adjusted based on the ratio of live weights to the 0.75 power. The methodology is the same as that recommended by IPCC (2006).

See Annex 3.9 for more detailed information on the methodology and data used to calculate CH<sub>4</sub> emissions from enteric fermentation.

## Uncertainty and Time-Series Consistency

A quantitative uncertainty analysis for this source category was performed using the IPCC-recommended Tier 2 uncertainty estimation methodology, Monte Carlo Stochastic Simulation technique as described in ICF (2003). These uncertainty estimates were developed for the 1990 through 2001 Inventory report. There have been no significant changes to the methodology, although the source of some input variables have been updated, at this time there are not better estimates available for the uncertainty ranges around the 2011 activity data and emission factor input variables used in the current submission. Consequently, these uncertainty estimates were directly applied to the 2011 emission estimates.

A total of 185 primary input variables (177 for cattle and 8 for non-cattle) were identified as key input variables for the uncertainty analysis. A normal distribution was assumed for almost all activity- and emission factor-related input variables. Triangular distributions were assigned to three input variables (specifically, cow-birth ratios for the three most recent years included in the 2001 model run) to ensure only positive values would be simulated. For some key input variables, the uncertainty ranges around their estimates (used for inventory estimation) were collected from published documents and other public sources; others were based on expert opinion and best estimates. In addition, both endogenous and exogenous correlations between selected primary input variables were modeled. The exogenous correlation coefficients between the probability distributions of selected activity-related variables were developed through expert judgment.

The uncertainty ranges associated with the activity data-related input variables were plus or minus 10 percent or lower. However, for many emission factor-related input variables, the lower- and/or the upper-bound uncertainty estimates were over 20 percent. The results of the quantitative uncertainty analysis are summarized in Table 6-5. Based on this analysis, enteric fermentation CH<sub>4</sub> emissions in 2011 were estimated to be between 122.3 and 162.1 Tg CO<sub>2</sub> Eq. at a 95 percent confidence level, which indicates a range of 11 percent below to 18 percent above the 2011 emission estimate of 137.4 Tg CO<sub>2</sub> Eq. Among the individual cattle sub-source categories, beef cattle account for the largest amount of CH<sub>4</sub> emissions as well as the largest degree of uncertainty in the emission estimates.

Among non-cattle, horses represent the largest percent of uncertainty in the previous uncertainty analysis because the FAO population estimates used for horses at that time had a higher degree of uncertainty than for the USDA population estimates used for swine, goats, and sheep. The horse populations are now from the same USDA source as the other animal types, and therefore the uncertainty range around horses is likely overestimated. American bison, mules, burros, and donkeys were excluded from the initial uncertainty estimate because they were not included in the estimate of emissions at that time, although because of their small populations they would not significantly increase the uncertainty estimate ranges of the overall emissions from enteric fermentation.

Table 6-5: Quantitative Uncertainty Estimates for CH<sub>4</sub> Emissions from Enteric Fermentation (Tg CO<sub>2</sub> Eq. and Percent)

Source	Gas	2011 Emission Estimate (Tg CO <sub>2</sub> Eq.)	Uncertainty Range Relative to Emission Estimate <sup>a, b, c</sup>			
			(Tg CO <sub>2</sub> Eq.)		(%)	
			Lower Bound	Upper Bound	Lower Bound	Upper Bound
Enteric Fermentation	CH <sub>4</sub>	137.4	122.3	162.1	-11%	+18%

<sup>a</sup> Range of emissions estimates predicted by Monte Carlo Stochastic Simulation for a 95 percent confidence interval.

<sup>b</sup> Note that the relative uncertainty range was estimated with respect to the 2001 emission estimates submitted in 2003 and applied to the 2011 estimates.

<sup>c</sup> The overall uncertainty calculated in 2003, and applied to the 2011 emission estimate, did not include uncertainty estimates for American bison, mules, burros, and donkeys, and was based on the Tier 1 methodology for bulls. Consequently, there was more uncertainty with bull emissions than with other cattle types.

Methodological recalculations were applied to the entire time series to ensure time-series consistency from 1990 through 2011. Details on the emission trends through time are described in more detail in the Methodology section.

## QA/QC and Verification

In order to ensure the quality of the emission estimates from enteric fermentation, the IPCC Tier 1 and Tier 2 Quality Assurance/Quality Control (QA/QC) procedures were implemented consistent with the U.S. QA/QC plan. Tier 2 QA procedures included independent peer review of emission estimates. Recent updates to the foraging portion of the diet values for cattle made this the area of emphasis for QA/QC this year, with specific attention to the data sources and comparisons of the current estimates with previous estimates.

In addition, over the past few years, particular importance has been placed on harmonizing the data exchange between the enteric fermentation and manure management source categories. The current inventory submission now utilizes the transition matrix from the CEFM for estimating cattle populations and weights for both source categories, and the CEFM is used to output volatile solids and nitrogen (N) excretion estimates using the diet assumptions in the model in conjunction with the energy balance equations from the IPCC (2006). This approach facilitates the QA/QC process for both of these source categories.

## Recalculations Discussion

There were no modifications to the methodology that had an effect on emission estimates, therefore the only recalculations were due to changes in activity data, including the following:

- In the previous Inventory, the 2003 dairy DE had an anomalous shift in data that did not mimic actual feeding conditions. In order to create a more realistic time series, the 2003 data point was dropped and the previous data point was extended for an extra year. This change increased dairy cattle emissions by 110 Gg (8.1 percent) in 2003.
- The USDA published minor revisions in several categories that affected historical emissions estimated for cattle in 2010, including dairy cow milk production for several states, and beef replacement heifer populations. . These changes had an insignificant impact on the overall results.
- There were additional population changes for sheep in 2009 and 2010 and swine for 2010. Historical emission estimates for sheep increased less than 1 percent per year compared to the previous emission estimates for the years mentioned above. Swine population changes resulted in an increase in emissions of 0.1 percent.

- In this Inventory horse populations have been estimated from USDA census data available via Quickstats (USDA 2012), while in the previous Inventory, population estimates were from FAO (2011). New data were chosen to reduce high levels of uncertainty that exist with the FAO data. Populations and emission estimates have declined by about 50 percent from previous estimates from 1990 through 2010 as a result of this change.

## Planned Improvements

Continued research and regular updates are necessary to maintain an emissions inventory that reflects the current base of knowledge. Ongoing revisions for enteric fermentation could include some of the following options:

- Updating input variables that are from older data sources, such as beef births by month and beef cow lactation rates;
- Investigation of the availability of annual data for the DE and crude protein values of specific diet and feed components for foraging and feedlot animals;
- Reevaluation of the appropriate age to begin inclusion of enteric fermentation emissions from calves;
- Given the many challenges in characterizing dairy diets, further investigation will be conducted on additional sources or methodologies for estimating DE for dairy;
- The possible breakout of other animal types (i.e., sheep, swine, goats, horses) from national estimates to state-level estimates or updating to Tier 2 methodology; and
- The investigation of methodologies for including enteric fermentation emission estimates from poultry.

In addition, recent changes that have been implemented to the CEFM warrant an assessment of the current uncertainty analysis; therefore, a revision of the quantitative uncertainty surrounding emission estimates from this source category will be initiated.

## 6.2. Manure Management (IPCC Source Category 4B)

The management of livestock manure can produce anthropogenic CH<sub>4</sub> and N<sub>2</sub>O emissions. Methane is produced by the anaerobic decomposition of manure. Direct N<sub>2</sub>O emissions are produced as part of the N cycle through the nitrification and denitrification of the organic N in livestock dung and urine.<sup>182</sup> Indirect N<sub>2</sub>O emissions are produced as result of the volatilization of N as NH<sub>3</sub> and NO<sub>x</sub> and runoff and leaching of N during treatment, storage and transportation.

When livestock or poultry manure are stored or treated in systems that promote anaerobic conditions (e.g., as a liquid/slurry in lagoons, ponds, tanks, or pits), the decomposition of materials in the manure tends to produce CH<sub>4</sub>. When manure is handled as a solid (e.g., in stacks or drylots) or deposited on pasture, range, or paddock lands, it tends to decompose aerobically and produce little or no CH<sub>4</sub>. Ambient temperature, moisture, and manure storage or residency time affect the amount of CH<sub>4</sub> produced because they influence the growth of the bacteria responsible for CH<sub>4</sub> formation. For non-liquid-based manure systems, moist conditions (which are a function of rainfall and humidity) can promote CH<sub>4</sub> production. Manure composition, which varies by animal diet, growth rate, and type, including the animal's digestive system, also affects the amount of CH<sub>4</sub> produced. In general, the greater the energy content of the feed, the greater the potential for CH<sub>4</sub> emissions. However, some higher-energy feeds also are more digestible than lower quality forages, which can result in less overall waste excreted from the animal.

The production of direct N<sub>2</sub>O emissions from livestock manure depends on the composition of the manure and urine, the type of bacteria involved in the process, and the amount of oxygen and liquid in the manure system. For direct N<sub>2</sub>O emissions to occur, the manure must first be handled aerobically where ammonia (NH<sub>3</sub>) or organic N is converted to nitrates and nitrites (nitrification), and then handled anaerobically where the nitrates and nitrites are

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<sup>182</sup> Direct and indirect N<sub>2</sub>O emissions from dung and urine spread onto fields either directly as daily spread or after it is removed from manure management systems (e.g., lagoon, pit, etc.) and from livestock dung and urine deposited on pasture, range, or paddock lands are accounted for and discussed in the Agricultural Soil Management source category within the Agriculture sector.

reduced to dinitrogen gas (N<sub>2</sub>), with intermediate production of N<sub>2</sub>O and nitric oxide (NO) (denitrification) (Groffman et al. 2000). These emissions are most likely to occur in dry manure handling systems that have aerobic conditions, but that also contain pockets of anaerobic conditions due to saturation. A very small portion of the total N excreted is expected to convert to N<sub>2</sub>O in the waste management system (WMS). Indirect N<sub>2</sub>O emissions are produced when nitrogen is lost from the system through volatilization (as NH<sub>3</sub> or NO<sub>x</sub>) or through runoff and leaching. The vast majority of volatilization losses from these operations are NH<sub>3</sub>. Although there are also some small losses of NO<sub>x</sub>, there are no quantified estimates available for use, so losses due to volatilization are only based on NH<sub>3</sub> loss factors. Runoff losses would be expected from operations that house animals or store manure in a manner that is exposed to weather. Runoff losses are also specific to the type of animal housed on the operation due to differences in manure characteristics. Little information is known about leaching from manure management systems as most research focuses on leaching from land application systems. Since leaching losses are expected to be minimal, leaching losses are coupled with runoff losses and the runoff/leaching estimate does not include any leaching losses.

Estimates of CH<sub>4</sub> emissions in 2011 were 52.0 Tg CO<sub>2</sub> Eq. (2,478 Gg), 65 percent higher than in 1990. Emissions increased on average by 0.9 Tg CO<sub>2</sub> Eq. (3.0 percent) annually over this period. The majority of this increase was from swine and dairy cow manure, where emissions increased 51 and 111 percent, respectively. Although the majority of manure in the United States is handled as a solid, producing little CH<sub>4</sub>, the general trend in manure management, particularly for dairy and swine (which are both shifting towards larger facilities), is one of increasing use of liquid systems. Also, new regulations limiting the application of manure nutrients have shifted manure management practices at smaller dairies from daily spread to manure managed and stored on site. Although national dairy animal populations have been generally decreasing, some states have seen increases in their dairy populations as the industry becomes more concentrated in certain areas of the country. These areas of concentration, such as California, New Mexico, and Idaho, tend to utilize more liquid-based systems to manage (flush or scrape) and store manure. Thus the shift toward larger facilities is translated into an increasing use of liquid manure management systems, which have higher potential CH<sub>4</sub> emissions than dry systems. This shift was accounted for by incorporating state and WMS-specific CH<sub>4</sub> conversion factor (MCF) values in combination with the 1992, 1997, 2002, and 2007 farm-size distribution data reported in the *Census of Agriculture* (USDA 2009a). Methane emissions from sheep have decreased significantly since 1990 (a 56 percent decrease from 1990 to 2011); however, this is mainly due to population changes. Overall, sheep contribute less than one percent of CH<sub>4</sub> emissions from animal manure management. From 2010 to 2011, there was a 0.4 percent increase in total CH<sub>4</sub> emissions, mainly due to minor shifts in the animal populations and the resultant effects on manure management system allocations.

In 2011, total N<sub>2</sub>O emissions were estimated to be 18.0 Tg CO<sub>2</sub> Eq. (58 Gg); in 1990, emissions were 14.4 Tg CO<sub>2</sub> Eq. (46 Gg). These values include both direct and indirect N<sub>2</sub>O emissions from manure management. Nitrous oxide emissions have remained fairly steady since 1990. Small changes in N<sub>2</sub>O emissions from individual animal groups exhibit the same trends as the animal group populations, with the overall net effect that N<sub>2</sub>O emissions showed a 25 percent increase from 1990 to 2011 and a 1.3 percent increase from 2010 through 2011.

Table 6-6 and Table 6-7 provide estimates of CH<sub>4</sub> and N<sub>2</sub>O emissions from manure management by animal category.

Table 6-6: CH<sub>4</sub> and N<sub>2</sub>O Emissions from Manure Management (Tg CO<sub>2</sub> Eq.)

Gas/Animal Type	1990	2005	2007	2008	2009	2010	2011
<b>CH<sub>4</sub><sup>a</sup></b>	<b>31.5</b>	<b>47.6</b>	<b>52.4</b>	<b>51.5</b>	<b>50.5</b>	<b>51.8</b>	<b>52.0</b>
Dairy Cattle	12.6	22.4	25.7	26.0	25.9	26.0	26.5
Beef Cattle	2.7	2.8	2.9	2.8	2.7	2.8	2.8
Swine	13.1	19.2	20.6	19.7	18.8	19.9	19.8
Sheep	0.1	0.1	0.1	0.1	0.1	0.1	0.1
Goats	+	+	+	+	+	+	+
Poultry	2.8	2.7	2.8	2.7	2.7	2.7	2.7
Horses	0.2	0.3	0.2	0.2	0.2	0.2	0.2
Bison	+	+	+	+	+	+	+
Mules and Asses	+	+	+	+	+	+	+
<b>N<sub>2</sub>O<sup>b</sup></b>	<b>14.4</b>	<b>17.1</b>	<b>18.0</b>	<b>17.8</b>	<b>17.7</b>	<b>17.8</b>	<b>18.0</b>
Dairy Cattle	5.3	5.7	5.9	5.8	5.8	5.9	5.9
Beef Cattle	6.1	7.4	7.9	7.8	7.8	7.8	8.0



Swine	1.2	1.8	2.0	2.0	2.0	1.9	2.0
Sheep	0.1	0.4	0.4	0.4	0.3	0.3	0.3
Goats	+	+	+	+	+	+	+
Poultry	1.5	1.7	1.7	1.7	1.6	1.6	1.6
Horses	0.1	0.1	0.1	0.1	0.2	0.2	0.2
Bison	NA	NA	NA	NA	NA	NA	NA
Mules and Asses	+	+	+	+	+	+	+
<b>Total</b>	<b>45.8</b>	<b>64.6</b>	<b>70.3</b>	<b>69.3</b>	<b>68.2</b>	<b>69.5</b>	<b>70.0</b>

+ Less than 0.05 Tg CO<sub>2</sub> Eq.

<sup>a</sup>Accounts for CH<sub>4</sub> reductions due to capture and destruction of CH<sub>4</sub> at facilities using anaerobic digesters.

<sup>b</sup>Includes both direct and indirect N<sub>2</sub>O emissions.

Note: Totals may not sum due to independent rounding. Bison are maintained entirely on unmanaged WMS; there are no bison N<sub>2</sub>O emissions from managed systems.

1 Table 6-7: CH<sub>4</sub> and N<sub>2</sub>O Emissions from Manure Management (Gg)

Gas/Animal Type	1990	2005	2007	2008	2009	2010	2011
<b>CH<sub>4</sub><sup>a</sup></b>	<b>1,499</b>	<b>2,265</b>	<b>2,493</b>	<b>2,452</b>	<b>2,403</b>	<b>2,466</b>	<b>2,478</b>
Dairy Cattle	599	1,069	1,224	1,238	1,233	1,239	1,262
Beef Cattle	128	135	136	132	131	134	132
Swine	624	914	982	938	896	948	941
Sheep	7	3	3	3	3	3	3
Goats	1	1	1	1	1	1	1
Poultry	131	129	134	129	128	129	127
Horses	9	12	11	10	11	11	11
Bison	+	+	+	+	+	+	+
Mules and Asses	+	+	+	+	+	+	+
<b>N<sub>2</sub>O<sup>b</sup></b>	<b>46</b>	<b>55</b>	<b>58</b>	<b>57</b>	<b>57</b>	<b>57</b>	<b>58</b>
Dairy Cattle	17	18	19	19	19	19	19
Beef Cattle	20	24	26	25	25	25	26
Swine	4	6	6	6	6	6	6
Sheep	+	1	1	1	1	1	1
Goats	+	+	+	+	+	+	+
Poultry	5	5	5	5	5	5	5
Horses	+	+	+	+	+	+	+
Bison	NA	NA	NA	NA	NA	NA	NA
Mules and Asses	+	+	+	+	+	+	+

+ Less than 0.5 Gg.

<sup>a</sup>Accounts for CH<sub>4</sub> reductions due to capture and destruction of CH<sub>4</sub> at facilities using anaerobic digesters.

<sup>b</sup>Includes both direct and indirect N<sub>2</sub>O emissions.

Note: Totals may not sum due to independent rounding. Bison are maintained entirely on unmanaged WMS; there are no bison N<sub>2</sub>O emissions from managed systems.

### 3 Methodology

4 The methodologies presented in IPCC (2006) form the basis of the CH<sub>4</sub> and N<sub>2</sub>O emission estimates for each animal  
5 type. This section presents a summary of the methodologies used to estimate CH<sub>4</sub> and N<sub>2</sub>O emissions from manure  
6 management. See Annex 3.10 for more detailed information on the methodology and data used to calculate CH<sub>4</sub> and  
7 N<sub>2</sub>O emissions from manure management.

### 8 Methane Calculation Methods

9 The following inputs were used in the calculation of CH<sub>4</sub> emissions:

- 10 • Animal population data (by animal type and state);

- Typical animal mass (TAM) data (by animal type);
- Portion of manure managed in each waste management system (WMS), by state and animal type;
- Volatile solids (VS) production rate (by animal type and state or United States);
- Methane producing potential ( $B_0$ ) of the volatile solids (by animal type); and
- Methane conversion factors (MCF), the extent to which the  $CH_4$  producing potential is realized for each type of WMS (by state and manure management system, including the impacts of any biogas collection efforts).

Methane emissions were estimated by first determining activity data, including animal population, TAM, WMS usage, and waste characteristics. The activity data sources are described below:

- Annual animal population data for 1990 through 2011 for all livestock types, except goats, horses, mules and asses, and bison were obtained from USDA National Agriculture Statistics Service (NASS). For cattle, the USDA populations were utilized in conjunction with birth rates, detailed feedlot placement information, and slaughter weight data to create the transition matrix in the CEFM that models cohorts of individual animal types and their specific emission profiles. The key variables tracked for each of the cattle population categories are described in Section 6.1 and in more detail in Annex 3.9. Goat population data for 1992, 1997, 2002, and 2007, horse and mule and ass population data for 1997, 2002 and 2007, and bison population for 2002 and 2007 were obtained from the *Census of Agriculture* (USDA 2009a). Bison population data for 1990-1999 were obtained from the National Bison Association (1999).
- The TAM is an annual average weight which was obtained for animal types other than cattle from information in USDA's *Agricultural Waste Management Field Handbook* (USDA 1996), the American Society of Agricultural Engineers, Standard D384.1 (ASAE 1999) and others (Meagher 1986, EPA 1992, Safley 2000, IPCC 2006, ERG 2010a). For a description of the TAM used for cattle, please see section 6.1, Enteric Fermentation.
- WMS usage was estimated for swine and dairy cattle for different farm size categories using data from USDA (USDA, APHIS 1996, Bush 1998, Ott 2000, USDA 2009a) and EPA (ERG 2000a, EPA 2002a, 2002b). For beef cattle and poultry, manure management system usage data were not tied to farm size but were based on other data sources (ERG 2000a, USDA: APHIS 2000, UEP 1999). For other animal types, manure management system usage was based on previous estimates (EPA 1992). Bison WMS usage was assumed to be the same as not on feed (NOF) cattle, while mules and asses were assumed to be the same as horses.
- VS production rates for all cattle except for bulls and calves were calculated by head for each state and animal type in the CEFM. VS production rates by animal mass for all other animals were determined using data from USDA's *Agricultural Waste Management Field Handbook* (USDA 1996, 2008 and ERG 2010b and 2010c) and data that was not available in the most recent *Handbook* were obtained from the American Society of Agricultural Engineers, Standard D384.1 (ASAE 1998) or the 2006 IPCC Guidelines. Bison VS production was assumed to be the same as NOF bulls.
- The maximum  $CH_4$  producing capacity of the VS ( $B_0$ ) was determined for each animal type based on literature values (Morris 1976, Bryant et al, 1976, Hashimoto 1981, Hashimoto 1984, EPA 1992, Hill 1982, and Hill 1984).
- MCFs for dry systems were set equal to default IPCC factors based on state climate for each year (IPCC 2006). MCFs for liquid/slurry, anaerobic lagoon, and deep pit systems were calculated based on the forecast performance of biological systems relative to temperature changes as predicted in the van't Hoff-Arrhenius equation which is consistent with IPCC (2006) Tier 2 methodology.
- Anaerobic digestion system data were obtained from the EPA AgSTAR Program, including information presented in the *AgSTAR Digest* (EPA 2000, 2003, 2006) and the AgSTAR project database (EPA 2012). Anaerobic digester emissions were calculated based on estimated methane production and collection and destruction efficiency assumptions (ERG 2008).

To estimate  $CH_4$  emissions for cattle and bison, the estimated amount of VS (kg per animal-year) managed in each WMS for each animal type, state, and year were taken from the CEFM. For animals other than cattle, the annual amount of VS (kg per year) from manure excreted in each WMS was calculated for each animal type, state, and year. This calculation multiplied the animal population (head) by the VS excretion rate (kg VS per 1,000 kg animal

mass per day), the TAM (kg animal mass per head) divided by 1,000, the WMS distribution (percent), and the number of days per year (365.25).

The estimated amount of VS managed in each WMS was used to estimate the CH<sub>4</sub> emissions (kg CH<sub>4</sub> per year) from each WMS. The amount of VS (kg per year) were multiplied by the maximum CH<sub>4</sub> producing capacity of the VS (B<sub>0</sub>) (m<sup>3</sup> CH<sub>4</sub> per kg VS), the MCF for that WMS (percent), and the density of CH<sub>4</sub> (kg CH<sub>4</sub> per m<sup>3</sup> CH<sub>4</sub>). The CH<sub>4</sub> emissions for each WMS, state, and animal type were summed to determine the total U.S. CH<sub>4</sub> emissions.

## Nitrous Oxide Calculation Methods

The following inputs were used in the calculation of direct and indirect N<sub>2</sub>O emissions:

- Animal population data (by animal type and state);
- TAM data (by animal type);
- Portion of manure managed in each WMS (by state and animal type);
- Total Kjeldahl N excretion rate (N<sub>ex</sub>);
- Direct N<sub>2</sub>O emission factor (EF<sub>WMS</sub>);
- Indirect N<sub>2</sub>O emission factor for volatilization (EF<sub>volatilization</sub>);
- Indirect N<sub>2</sub>O emission factor for runoff and leaching (EF<sub>runoff/leach</sub>);
- Fraction of N loss from volatilization of NH<sub>3</sub> and NO<sub>x</sub> (Frac<sub>gas</sub>); and
- Fraction of N loss from runoff and leaching (Frac<sub>runoff/leach</sub>).

N<sub>2</sub>O emissions were estimated by first determining activity data, including animal population, TAM, WMS usage, and waste characteristics. The activity data sources (except for population, TAM, and WMS, which were described above) are described below:

- Nex rates for all cattle except for bulls and calves were calculated by head for each state and animal type in the CEFM. Nex rates by animal mass for all other animals were determined using data from USDA's *Agricultural Waste Management Field Handbook* (USDA 1996, 2008 and ERG 2010b and 2010c) and data from the American Society of Agricultural Engineers, Standard D384.1 (ASAE 1998) and IPCC (2006). Bison Nex rates were assumed to be the same as NOF bulls.
- All N<sub>2</sub>O emission factors (direct and indirect) were taken from IPCC (2006). These data are appropriate because they were developed using U.S. data.
- Country-specific estimates for the fraction of N loss from volatilization (Frac<sub>gas</sub>) and runoff and leaching (Frac<sub>runoff/leach</sub>) were developed. Frac<sub>gas</sub> values were based on WMS-specific volatilization values as estimated from EPA's *National Emission Inventory - Ammonia Emissions from Animal Agriculture Operations* (EPA 2005). Frac<sub>runoff/leaching</sub> values were based on regional cattle runoff data from EPA's Office of Water (EPA 2002b; see Annex 3.1).

To estimate N<sub>2</sub>O emissions for cattle and bison, the estimated amount of N excreted (kg per animal-year) managed in each WMS for each animal type, state, and year were taken from the CEFM. For animals other than cattle, the amount of N excreted (kg per year) in manure in each WMS for each animal type, state, and year was calculated. The population (head) for each state and animal was multiplied by TAM (kg animal mass per head) divided by 1,000, the nitrogen excretion rate (N<sub>ex</sub>, in kg N per 1000 kg animal mass per day), WMS distribution (percent), and the number of days per year.

Direct N<sub>2</sub>O emissions were calculated by multiplying the amount of N excreted (kg per year) in each WMS by the N<sub>2</sub>O direct emission factor for that WMS (EF<sub>WMS</sub>, in kg N<sub>2</sub>O-N per kg N) and the conversion factor of N<sub>2</sub>O-N to N<sub>2</sub>O. These emissions were summed over state, animal, and WMS to determine the total direct N<sub>2</sub>O emissions (kg of N<sub>2</sub>O per year).

Next, indirect N<sub>2</sub>O emissions from volatilization (kg N<sub>2</sub>O per year) were calculated by multiplying the amount of N excreted (kg per year) in each WMS by the fraction of N lost through volatilization (Frac<sub>tas</sub>) divided by 100, and the emission factor for volatilization (EF<sub>volatilization</sub>, in kg N<sub>2</sub>O per kg N), and the conversion factor of N<sub>2</sub>O-N to N<sub>2</sub>O. Indirect N<sub>2</sub>O emissions from runoff and leaching (kg N<sub>2</sub>O per year) were then calculated by multiplying the amount of N excreted (kg per year) in each WMS by the fraction of N lost through runoff and leaching (Frac<sub>runoff/leach</sub>) divided by 100, and the emission factor for runoff and leaching (EF<sub>runoff/leach</sub>, in kg N<sub>2</sub>O per kg N), and the conversion factor of N<sub>2</sub>O-N to N<sub>2</sub>O. The indirect N<sub>2</sub>O emissions from volatilization and runoff and leaching were summed to determine the total indirect N<sub>2</sub>O emissions.

The direct and indirect N<sub>2</sub>O emissions were summed to determine total N<sub>2</sub>O emissions (kg N<sub>2</sub>O per year).

## Uncertainty and Time-Series Consistency

An analysis (ERG 2003) was conducted for the manure management emission estimates presented in the 1990 through 2001 Inventory report to determine the uncertainty associated with estimating CH<sub>4</sub> and N<sub>2</sub>O emissions from livestock manure management. The quantitative uncertainty analysis for this source category was performed in 2002 through the IPCC-recommended Tier 2 uncertainty estimation methodology, the Monte Carlo Stochastic Simulation technique. The uncertainty analysis was developed based on the methods used to estimate CH<sub>4</sub> and N<sub>2</sub>O emissions from manure management systems. A normal probability distribution was assumed for each source data category. The series of equations used were condensed into a single equation for each animal type and state. The equations for each animal group contained four to five variables around which the uncertainty analysis was performed for each state. These uncertainty estimates were directly applied to the 2011 emission estimates.

The results of the Tier 2 quantitative uncertainty analysis are summarized in Table 6-8. Manure management CH<sub>4</sub> emissions in 2011 were estimated to be between 42.7 and 62.4 Tg CO<sub>2</sub> Eq. at a 95 percent confidence level, which indicates a range of 18 percent below to 20 percent above the actual 2011 emission estimate of 52.0 Tg CO<sub>2</sub> Eq. At the 95 percent confidence level, N<sub>2</sub>O emissions were estimated to be between 15.1 and 22.3 Tg CO<sub>2</sub> Eq. (or approximately 16 percent below and 24 percent above the actual 2011 emission estimate of 18.0 Tg CO<sub>2</sub> Eq.).

Table 6-8: Tier 2 Quantitative Uncertainty Estimates for CH<sub>4</sub> and N<sub>2</sub>O (Direct and Indirect) Emissions from Manure Management (Tg CO<sub>2</sub> Eq. and Percent)

Source	Gas	2011 Emission Estimate (Tg CO <sub>2</sub> Eq.)	Uncertainty Range Relative to Emission Estimate <sup>a</sup>			
			(Tg CO <sub>2</sub> Eq.)		(%)	
			Lower Bound	Upper Bound	Lower Bound	Upper Bound
Manure Management	CH <sub>4</sub>	52.0	42.7	62.4	-18%	+20%
Manure Management	N <sub>2</sub> O	18.0	15.1	22.3	-16%	+24%

<sup>a</sup>Range of emission estimates predicted by Monte Carlo Stochastic Simulation for a 95 percent confidence interval.

## QA/QC and Verification

Tier 1 and Tier 2 QA/QC activities were conducted consistent with the U.S. QA/QC plan. Tier 2 activities focused on comparing estimates for the previous and current inventories for N<sub>2</sub>O emissions from managed systems and CH<sub>4</sub> emissions from livestock manure. All errors identified were corrected. Order of magnitude checks were also conducted, and corrections made where needed. Manure N data were checked by comparing state-level data with bottom up estimates derived at the county level and summed to the state level. Similarly, a comparison was made by animal and WMS type for the full time series, between national level estimates for N excreted and the sum of county estimates for the full time series.

Any updated data, including population, are validated by experts to ensure the changes are representative of the best available U.S. specific data. The U.S. specific values for TAM, Nex, VS, B<sub>0</sub>, and MCF were also compared to the IPCC default values and validated by experts. Although significant differences exist in some instances, these differences are due to the use of U.S. specific data and the differences in U.S. agriculture as compared to other countries. The U.S. manure management emission estimates use the most reliable country-specific data, which are more representative of U.S. animals and systems than the IPCC default values.

For additional verification, the implied CH<sub>4</sub> emission factors for manure management (kg of CH<sub>4</sub> per head per year) were considered. Table 6-9 presents the implied emission factors of kg of CH<sub>4</sub> per head per year used for the manure management emission estimates as well as the IPCC default emission factors. The U.S. implied emission factors fall within the range of the IPCC default values, except in the case of sheep, goats, and some years for horses and dairy cattle. The U.S. implied emission factors are greater than the IPCC default value for those animals due to the use of U.S.-specific data for typical animal mass and VS excretion. There is an increase in implied emission factors for dairy and swine across the time series. This increase reflects the dairy and swine industry trend towards larger farm sizes; large farms are more likely to manage manure as a liquid and therefore produce more CH<sub>4</sub> emissions.

Table 6-9: Implied Emission Factors for CH<sub>4</sub> from Manure Management (kg/head/year)

Animal Type	IPCC	Implied CH <sub>4</sub> Emission Factors (kg/head/year)					
		1990	1995	2000	2005	2010	2011
Dairy Cattle	48-112	42.3	51.0	68.2	81.2	91.0	92.2
Beef Cattle	1-2	1.5	1.5	1.5	1.6	1.6	1.6
Swine	10-45	11.6	13.0	14.2	15.0	14.6	14.3
Sheep	0.19-0.37	0.6	0.6	0.6	0.6	0.5	0.5
Goats	0.13-0.26	0.4	0.3	0.3	0.3	0.3	0.3
Poultry	0.02-1.4	0.1	0.1	0.1	0.1	0.1	0.1
Horses	1.56-3.13	4.2	4.1	3.9	3.1	2.6	2.6
Mules and Asses	0.76-1.14	0.1	0.1	0.1	0.1	0.1	0.1
Bison	NA	1.8	1.9	1.9	2.0	2.1	2.1

### Recalculations Discussion

The CEFM produces population, VS and Nex data for cattle that are used in the manure management inventory. As a result, all changes to the CEFM described in Section 6.1 Enteric Fermentation contributed to changes in the population, VS and Nex data used for calculating CH<sub>4</sub> and N<sub>2</sub>O cattle emissions from manure management. In addition, this year the CEFM produced VS and Nex for bulls. As a result of this change in data source, there were changes in VS and Nex for bulls in all years which ultimately impacted CH<sub>4</sub> and N<sub>2</sub>O emissions for these animals.

State animal populations were updated to reflect updated USDA NASS datasets. Population changes occurred for broilers, layers, pullets and swine in 2010 and sheep in 2009 and 2010. In addition, the data source used for horse population data was changed from the United Nations Food and Agriculture Organization (FAO) to USDA Census data. FAO data were previously used because USDA horse data are only updated every 5 years. However, there were large population differences between the FAO dataset and the USDA data and the USDA data are country specific and more representative and accurate for U.S. animal population data.

Temperature data were updated to incorporate the most recent available data. The temperature data are used to estimate MCFs for liquid systems; this update caused minor changes in CH<sub>4</sub> emission estimates from dairy, swine, beef, and poultry from 2008 to 2010.

Updated anaerobic digester data was obtained from the AgSTAR database. The WMS distributions for the current Inventory for dairy cattle, swine, and poultry were updated to reflect the updated anaerobic digestion data.

Tier 2 emission estimates for mules and asses and North American bison were incorporated into the current Inventory. Although these animal groups are considered very minor sources of emissions and did not contribute significantly to the overall U.S. emissions from manure management, they were included for completeness and consistency across source categories.

### Planned Improvements

The uncertainty analysis will be updated in the future to more accurately assess uncertainty of emission calculations. This update is necessary due to the extensive changes in emission calculation methodology, including estimation of emissions at the WMS level and the use of new calculations and variables for indirect N<sub>2</sub>O emissions.

### 6.3. Rice Cultivation (IPCC Source Category 4C)

Most of the world's rice, and all rice in the United States, is grown on flooded fields. When fields are flooded, aerobic decomposition of organic material gradually depletes most of the oxygen present in the soil, causing anaerobic soil conditions. Once the environment becomes anaerobic, CH<sub>4</sub> is produced through anaerobic decomposition of soil organic matter by methanogenic bacteria. As much as 60 to 90 percent of the CH<sub>4</sub> produced is oxidized by aerobic methanotrophic bacteria in the soil (some oxygen remains at the interfaces of soil and water, and soil and root system) (Holzapfel-Pschorn et al. 1985, Sass et al. 1990). Some of the CH<sub>4</sub> is also leached away as dissolved CH<sub>4</sub> in floodwater that percolates from the field. The remaining un-oxidized CH<sub>4</sub> is transported from the submerged soil to the atmosphere primarily by diffusive transport through the rice plants. Minor amounts of CH<sub>4</sub> also escape from the soil via diffusion and bubbling through floodwaters.

The water management system under which rice is grown is one of the most important factors affecting CH<sub>4</sub> emissions. Upland rice fields are not flooded, and therefore are not believed to produce CH<sub>4</sub>. In deepwater rice fields (i.e., fields with flooding depths greater than one meter), the lower stems and roots of the rice plants are dead, so the primary CH<sub>4</sub> transport pathway to the atmosphere is blocked. The quantities of CH<sub>4</sub> released from deepwater fields, therefore, are believed to be significantly less than the quantities released from areas with shallower flooding depths. Some flooded fields are drained periodically during the growing season, either intentionally or accidentally. If water is drained and soils are allowed to dry sufficiently, CH<sub>4</sub> emissions decrease or stop entirely. This is due to soil aeration, which not only causes existing soil CH<sub>4</sub> to oxidize but also inhibits further CH<sub>4</sub> production in soils. All rice in the United States is grown under continuously flooded conditions; none is grown under deepwater conditions. Mid-season drainage does not occur except by accident (e.g., due to levee breach).

Other factors that influence CH<sub>4</sub> emissions from flooded rice fields include fertilization practices (especially the use of organic fertilizers), soil temperature, soil type, rice variety, and cultivation practices (e.g., tillage, seeding, and weeding practices). The factors that determine the amount of organic material available to decompose (i.e., organic fertilizer use, soil type, rice variety,<sup>183</sup> and cultivation practices) are the most important variables influencing the amount of CH<sub>4</sub> emitted over the growing season; the total amount of CH<sub>4</sub> released depends primarily on the amount of organic substrate available. Soil temperature is known to be an important factor regulating the activity of methanogenic bacteria, and therefore the rate of CH<sub>4</sub> production. However, although temperature controls the amount of time it takes to convert a given amount of organic material to CH<sub>4</sub>, that time is short relative to a growing season, so the dependence of total emissions over an entire growing season on soil temperature is weak. The application of synthetic fertilizers has also been found to influence CH<sub>4</sub> emissions; in particular, both nitrate and sulfate fertilizers (e.g., ammonium nitrate and ammonium sulfate) appear to inhibit CH<sub>4</sub> formation.

Rice is cultivated in eight states: Arkansas, California, Florida, Louisiana, Mississippi, Missouri, Oklahoma, and Texas.<sup>184</sup> Soil types, rice varieties, and cultivation practices for rice vary from state to state, and even from farm to farm. However, most rice farmers apply organic fertilizers in the form of residue from the previous rice crop, which is left standing, disked, or rolled into the fields. Most farmers also apply synthetic fertilizer to their fields, usually urea. Nitrate and sulfate fertilizers are not commonly used in rice cultivation in the United States. In addition, the climatic conditions of southwest Louisiana, Texas, and Florida often allow for a second, or ratoon, rice crop. Ratoon crops are much less common or non-existent in Arkansas, California, Mississippi, Missouri, Oklahoma, and northern areas of Louisiana. Methane emissions from ratoon crops have been found to be considerably higher than those from the primary crop. This second rice crop is produced from regrowth of the stubble after the first crop has been harvested. Because the first crop's stubble is left behind in ratooned fields, and there is no time delay between cropping seasons (which would allow the stubble to decay aerobically), the amount of organic material that is available for anaerobic decomposition is considerably higher than with the first (i.e., primary) crop.

Rice cultivation is a small source of CH<sub>4</sub> in the United States (Table 6-10 and Table 6-11). In 2011, CH<sub>4</sub> emissions from rice cultivation were 6.6 Tg CO<sub>2</sub> Eq. (316 Gg). Annual emissions fluctuated unevenly between the years 1990 and 2010, ranging from an annual decrease of 23 percent to an annual increase of 17 percent. There was an overall decrease of 17 percent between 1990 and 2006, due to an overall decrease in primary crop area.<sup>185</sup> However, emission levels increased again by 12 percent between 2006 and 2011 due to an increase in rice crop area in all states except Oklahoma, which reported no rice production in 2009, 2010, and 2011. All states except California and Florida reported a decrease in rice crop area from 2010 to 2011. The factors that affect the rice acreage in any year vary from state to state, although the price of rice relative to competing crops is the primary controlling variable in most states.

Table 6-10: CH<sub>4</sub> Emissions from Rice Cultivation (Tg CO<sub>2</sub> Eq.)

State	1990	2005	2007	2008	2009	2010	2011
-------	------	------	------	------	------	------	------

<sup>183</sup> The roots of rice plants shed organic material, which is referred to as "root exudate." The amount of root exudate produced by a rice plant over a growing season varies among rice varieties.

<sup>184</sup> A very small amount of rice is grown on about 20 acres in South Carolina; however, this amount was determined to be too insignificant to warrant inclusion in national emission estimates.

<sup>185</sup> The 23 percent decrease occurred between 2010 and 2011; the 17 percent increase happened between 2009 and 2010.

<b>Primary</b>	<b>5.1</b>		<b>6.0</b>		<b>4.9</b>	<b>5.3</b>	<b>5.6</b>	<b>6.5</b>	<b>4.7</b>
Arkansas	2.1		2.9		2.4	2.5	2.6	3.2	2.1
California	0.7		0.9		1.0	0.9	1.0	1.0	1.0
Florida	+		+		+	+	+	+	+
Louisiana	1.0		0.9		0.7	0.8	0.8	1.0	0.7
Mississippi	0.4		0.5		0.3	0.4	0.4	0.5	0.3
Missouri	0.1		0.4		0.3	0.4	0.4	0.4	0.2
Oklahoma	+		+		0.0	0.0	0.0	0.0	0.0
Texas	0.6		0.4		0.3	0.3	0.3	0.3	0.3
<b>Ratoon</b>	<b>2.1</b>		<b>0.8</b>		<b>1.3</b>	<b>1.9</b>	<b>1.8</b>	<b>2.1</b>	<b>1.9</b>
Arkansas	+		+		+	+	+	+	+
Florida	+		+		+	+	+	+	+
Louisiana	1.1		0.5		0.9	1.2	1.1	1.4	1.0
Texas	0.9		0.4		0.3	0.6	0.7	0.7	0.9
<b>Total</b>	<b>7.1</b>		<b>6.8</b>		<b>6.2</b>	<b>7.2</b>	<b>7.3</b>	<b>8.6</b>	<b>6.6</b>

+ Less than 0.05 Tg CO<sub>2</sub> Eq.

Note: Totals may not sum due to independent rounding.

1 Table 6-11: CH<sub>4</sub> Emissions from Rice Cultivation (Gg)

<b>State</b>	<b>1990</b>		<b>2005</b>		<b>2007</b>	<b>2008</b>	<b>2009</b>	<b>2010</b>	<b>2011</b>
<b>Primary</b>	<b>241</b>		<b>287</b>		<b>235</b>	<b>254</b>	<b>265</b>	<b>308</b>	<b>224</b>
Arkansas	102		139		113	119	125	152	98
California	34		45		45	44	47	47	49
Florida	1		1		1	1	1	1	2
Louisiana	46		45		32	39	39	45	36
Mississippi	21		22		16	19	21	26	13
Missouri	7		18		15	17	17	21	11
Oklahoma	+		+		0	+	+	+	+
Texas	30		17		12	15	14	16	15
<b>Ratoon</b>	<b>98</b>		<b>39</b>		<b>60</b>	<b>89</b>	<b>84</b>	<b>101</b>	<b>92</b>
Arkansas	+		1		+	+	+	+	+
Florida	2		+		1	1	2	2	2
Louisiana	52		22		42	59	51	68	46
Texas	45		17		16	29	31	32	44
<b>Total</b>	<b>339</b>		<b>326</b>		<b>295</b>	<b>343</b>	<b>349</b>	<b>410</b>	<b>316</b>

+ Less than 0.5 Gg

Note: Totals may not sum due to independent rounding.

## 2 Methodology

3 IPCC (2006) recommends using harvested rice areas, area-based daily emission factors (i.e., amount of CH<sub>4</sub> emitted  
4 per day per unit harvested area), and length of growing season to estimate annual CH<sub>4</sub> emissions from rice  
5 cultivation. To that end, the recommended methodology and Tier 2 U.S.-specific emission factors derived from rice  
6 field measurements were used. Average U.S. seasonal emission factors were applied since state-specific and daily  
7 emission factors were not available. Seasonal emissions have been found to be much higher for ratooned crops than  
8 for primary crops, so emissions from ratooned and primary areas are estimated separately using emission factors that  
9 are representative of the particular growing season. This approach is consistent with IPCC (2006).

The harvested rice areas for the primary and ratoon crops in each state are presented in Table 6-12, and the area of ratoon crop area as a percent of primary crop area is shown in Table 6-13. Primary crop areas for 1990 through 2010 for all states except Florida and Oklahoma were taken from U.S. Department of Agriculture's *Field Crops Final Estimates 1987–1992* (USDA 1994), *Field Crops Final Estimates 1992–1997* (USDA 1998), *Field Crops Final Estimates 1997–2002* (USDA 2003), and *Crop Production Summary* (USDA 2005 through 2012). Source data for non-USDA sources of primary and ratoon harvest areas are shown in

Table 6-14. California, Mississippi, Missouri, and Oklahoma have not ratooned rice over the period 1990 through 2011 (Beighley 2012; Buehring 2009 through 2011; Guethle 1999 through 2010; Lee 2003 through 2007; Mutters 2002 through 2005; Street 1999 through 2003; Walker 2005, 2007 through 2008).

Table 6-12: Rice Area Harvested (Hectares)

State/Crop	1990	2005	2007	2008	2009	2010	2011
Arkansas							
Primary	485,633	661,675	536,220	564,549	594,901	722,380	467,017
Ratoon <sup>a</sup>	-	662	5	6	6	7	5
California	159,854	212,869	215,702	209,227	225,010	223,796	234,723
Florida							
Primary	4,978	4,565	6,242	5,463	5,664	5,330	8,212
Ratoon	2,489	-	1,873	1,639	2,266	2,275	2,311
Louisiana							
Primary	220,558	212,465	152,975	187,778	187,778	216,512	169,162
Ratoon	66,168	27,620	53,541	75,111	65,722	86,605	59,207
Mississippi	101,174	106,435	76,487	92,675	98,341	122,622	63,942
Missouri	32,376	86,605	72,036	80,534	80,939	101,578	51,801
Oklahoma	617	271	-	77	-	-	-
Texas							
Primary	142,857	81,344	58,681	69,607	68,798	76,083	72,845
Ratoon	57,143	21,963	21,125	36,892	39,903	41,085	56,091
<b>Total Primary</b>	<b>1,148,047</b>	<b>1,366,228</b>	<b>1,118,343</b>	<b>1,209,911</b>	<b>1,261,431</b>	<b>1,468,300</b>	<b>1,067,702</b>
<b>Total Ratoon</b>	<b>125,799</b>	<b>50,245</b>	<b>76,544</b>	<b>113,648</b>	<b>107,897</b>	<b>129,971</b>	<b>117,613</b>
<b>Total</b>	<b>1,273,847</b>	<b>1,416,473</b>	<b>1,194,887</b>	<b>1,323,559</b>	<b>1,369,328</b>	<b>1,598,271</b>	<b>1,185,315</b>

<sup>a</sup> Arkansas ratooning occurred only in 1998, 1999, and 2005 through 2011.

- No reported value

Note: Totals may not sum due to independent rounding.

Table 6-13: Ratooned Area as Percent of Primary Growth Area

State	1990	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011
Arkansas	0%	+	+						0%	0.1%	+	+	+	+	+	+
Florida	50%	65%	41%	60%	54%	100%	77%	0%	28%	30%	30%	40%	43%	28%		
Louisiana	30%	40%	30%	15%	35%	30%	13%	20%	35%	40%	35%	40%	35%	40%	35%	
Texas	40%	50%	40%	37%	38%	35%	27%	39%	36%	53%	58%	54%	77%			

+ Indicates ratooning rate less than 0.1 percent.



Table 6-14: Non-USDA Data Sources for Rice Harvest Information

State/Crop	1990	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011
Arkansas													
Ratoon													
Florida													
Primary		Scheuneman (1999 – 2001)		Deren (2002)		Kirstein (2003, 2006)						Gonzales (2006 – 2012)	
Ratoon		Scheuneman (1999)		Deren (2002)		Kirstein (2003-2004)	Cantens (2005)					Gonzales (2006 – 2012)	
Louisiana													
Ratoon		Bollich (2000)											
Oklahoma													
Primary													
Texas													
Ratoon													

To determine what CH<sub>4</sub> emission factors should be used for the primary and ratoon crops, CH<sub>4</sub> flux information from rice field measurements in the United States was collected. Experiments that involved atypical or nonrepresentative management practices (e.g., the application of nitrate or sulfate fertilizers, or other substances believed to suppress CH<sub>4</sub> formation), as well as experiments in which measurements were not made over an entire flooding season or floodwaters were drained mid-season, were excluded from the analysis. The remaining experimental results<sup>186</sup> were then sorted by season (i.e., primary and ratoon) and type of fertilizer amendment (i.e., no fertilizer added, organic fertilizer added, and synthetic and organic fertilizer added). The experimental results from primary crops with added synthetic and organic fertilizer (Bossio et al. 1999; Cicerone et al. 1992; Sass et al. 1991a, 1991b) were averaged to derive an emission factor for the primary crop, and the experimental results from ratoon crops with added synthetic fertilizer (Lindau and Bollich 1993, Lindau et al. 1995) were averaged to derive an emission factor for the ratoon crop. The resultant emission factor for the primary crop is 210 kg CH<sub>4</sub>/hectare-season, and the resultant emission factor for the ratoon crop is 780 kg CH<sub>4</sub>/hectare-season.

## Uncertainty and Time-Series Consistency

The largest uncertainty in the calculation of CH<sub>4</sub> emissions from rice cultivation is associated with the emission factors. Seasonal emissions, derived from field measurements in the United States, vary by more than one order of magnitude. This inherent variability is due to differences in cultivation practices, particularly fertilizer type, amount, and mode of application; differences in cultivar type; and differences in soil and climatic conditions. A portion of this variability is accounted for by separating primary from ratooned areas. However, even within a cropping season or a given management regime, measured emissions may vary significantly. Of the experiments used to derive the emission factors applied here, primary emissions ranged from 22 to 479 kg CH<sub>4</sub>/hectare-season and ratoon emissions ranged from 481 to 1,490 kg CH<sub>4</sub>/hectare-season. The uncertainty distributions around the primary and ratoon emission factors were derived using the distributions of the relevant primary or ratoon emission factors available in the literature and described above. Variability about the rice emission factor means was not normally distributed for either primary or ratooned crops, but rather skewed, with a tail trailing to the right of the mean. A lognormal statistical distribution was, therefore, applied in the Tier 2 Monte Carlo analysis.

Other sources of uncertainty include the primary rice-cropped area for each state, percent of rice-cropped area that is

<sup>186</sup> In some of these remaining experiments, measurements from individual plots were excluded from the analysis because of the aforementioned reasons. In addition, one measurement from the ratooned fields (i.e., the flux of 1,490 kg CH<sub>4</sub>/hectare-season in Lindau and Bollich 1993) was excluded, because this emission rate is unusually high compared to other flux measurements in the United States, as well as IPCC (2006) default emission factors.

ratooned, and the extent to which flooding outside of the normal rice season is practiced. Expert judgment was used to estimate the uncertainty associated with primary rice-cropped area for each state at 1 to 5 percent, and a normal distribution was assumed. Uncertainties were applied to ratooned area by state, based on the level of reporting performed by the state. No uncertainty estimates were calculated for the practice of flooding outside of the normal rice season because CH<sub>4</sub> flux measurements have not been undertaken over a sufficient geographic range or under a broad enough range of representative conditions to account for this source in the emission estimates or its associated uncertainty.

To quantify the uncertainties for emissions from rice cultivation, a Monte Carlo (Tier 2) uncertainty analysis was performed using the information provided above. The results of the Tier 2 quantitative uncertainty analysis are summarized in Table 6-15. Rice cultivation CH<sub>4</sub> emissions in 2012 were estimated to be between 2.5 and 16.3 Tg CO<sub>2</sub> Eq. at a 95 percent confidence level, which indicates a range of 63 percent below to 146 percent above the actual 2011 emission estimate of 6.6 Tg CO<sub>2</sub> Eq.

Table 6-15: Tier 2 Quantitative Uncertainty Estimates for CH<sub>4</sub> Emissions from Rice Cultivation (Tg CO<sub>2</sub> Eq. and Percent)

Source	Gas	2011 Emission Estimate (Tg CO <sub>2</sub> Eq.)	Uncertainty Range Relative to Emission Estimate <sup>a</sup>			
			(Tg CO <sub>2</sub> Eq.)		(%)	
			Lower Bound	Upper Bound	Lower Bound	Upper Bound
Rice Cultivation	CH <sub>4</sub>	6.6	2.5	16.3	-63%	+146%

<sup>a</sup> Range of emission estimates predicted by Monte Carlo Stochastic Simulation for a 95 percent confidence interval.

Methodological recalculations were applied to the entire time series to ensure time-series consistency from 1990 through 2011. Details on the emission trends through time are described in more detail in the Methodology section, above.

## QA/QC and Verification

A source-specific QA/QC plan for rice cultivation was developed and implemented. This effort included a Tier 1 analysis, as well as portions of a Tier 2 analysis. The Tier 2 procedures focused on comparing trends across years, states, and cropping seasons to attempt to identify any outliers or inconsistencies. No problems were found.

## Planned Improvements

A possible future improvement is to create region-specific emission factors for rice cultivation. The current methodology uses a nationwide average emission factor, derived from several studies done in a number of states. The prospective improvement would take the same studies and average them by region, presumably resulting in more spatially specific emission factors. This prospective improvement would likely not take place for another 2 to 3 years, because the analyses needed for it are currently taking place.

## 6.4. Agricultural Soil Management (IPCC Source Category 4D)

Nitrous oxide is produced naturally in soils through the microbial processes of nitrification and denitrification.<sup>187</sup> A number of agricultural activities increase mineral N availability in soils, thereby increasing the amount available for nitrification and denitrification, and ultimately the amount of N<sub>2</sub>O emitted. These activities increase soil mineral N

<sup>187</sup> Nitrification and denitrification are driven by the activity of microorganisms in soils. Nitrification is the aerobic microbial oxidation of ammonium (NH<sub>4</sub><sup>+</sup>) to nitrate (NO<sub>3</sub><sup>-</sup>), and denitrification is the anaerobic microbial reduction of nitrate to N<sub>2</sub>. Nitrous oxide is a gaseous intermediate product in the reaction sequence of denitrification, which leaks from microbial cells into the soil and then into the atmosphere. Nitrous oxide is also produced during nitrification, although by a less well-understood mechanism (Nevison 2000).

1 either directly or indirectly (see Figure 6-2). Direct increases occur through a variety of management practices that  
2 add or lead to greater release of mineral N to the soil, including fertilization; application of managed livestock  
3 manure and other organic materials such as sewage sludge; deposition of manure on soils by domesticated animals  
4 in pastures, rangelands, and paddocks (PRP) (i.e., by grazing animals and other animals whose manure is not  
5 managed); production of N-fixing crops and forages; retention of crop residues; and drainage and cultivation of  
6 organic cropland soils (i.e., soils with a high organic matter content, otherwise known as Histosols).<sup>188</sup> Other  
7 agricultural soil management activities, including irrigation, drainage, tillage practices, and fallowing of land, can  
8 influence N mineralization in soils and thereby affect direct emissions. Mineral N is also made available in soils  
9 through decomposition of soil organic matter and plant litter, as well as asymbiotic fixation of N from the  
10 atmosphere,<sup>189</sup> and these processes are influenced by agricultural management through impacts on moisture and  
11 temperature regimes in soils. These additional sources of mineral N are included at the recommendation of IPCC  
12 (2006) for complete accounting of management impacts on greenhouse gas emissions, as discussed in the  
13 Methodology section. Indirect emissions of N<sub>2</sub>O occur through two pathways: (1) volatilization and subsequent  
14 atmospheric deposition of applied/mineralized N,<sup>190</sup> and (2) surface runoff and leaching of applied/mineralized N  
15 into groundwater and surface water. Direct emissions from agricultural lands (i.e., cropland and grassland as  
16 defined in Chapter 7, Land Representation Section) are included in this section, while direct emissions from forest  
17 lands and settlements are presented in the Land Use, Land-Use Change, and Forestry chapter. However, indirect  
18 N<sub>2</sub>O emissions from all land-uses (cropland, grassland, forest lands, and settlements) are reported in this section.

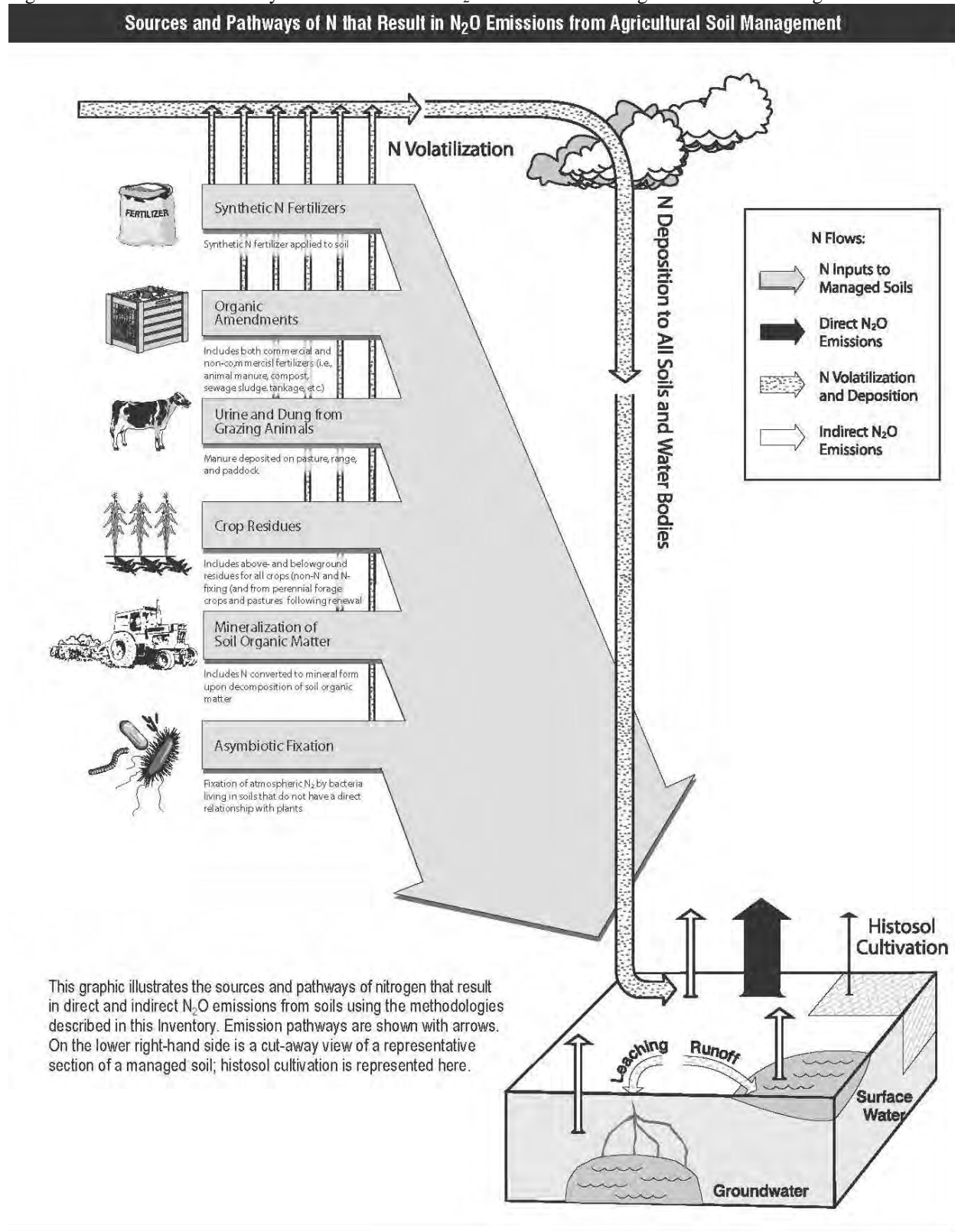
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<sup>188</sup> Drainage and cultivation of organic soils in former wetlands enhances mineralization of N-rich organic matter, thereby increasing N<sub>2</sub>O emissions from these soils.

<sup>189</sup> Asymbiotic N fixation is the fixation of atmospheric N<sub>2</sub> by bacteria living in soils that do not have a direct relationship with plants.

<sup>190</sup> These processes entail volatilization of applied or mineralized N as NH<sub>3</sub> and NO<sub>x</sub>, transformation of these gases within the atmosphere (or upon deposition), and deposition of the N primarily in the form of particulate NH<sub>4</sub><sup>+</sup>, nitric acid (HNO<sub>3</sub>), and NO<sub>x</sub>.

Figure 6-2: Sources and Pathways of N that Result in N<sub>2</sub>O Emissions from Agricultural Soil Management



Agricultural soils produce the majority of N<sub>2</sub>O emissions in the United States. Estimated emissions from this source in 2011 were 266.5Tg CO<sub>2</sub> Eq. (860 Gg N<sub>2</sub>O) (see Table 6-16 and Table 6-17). Annual N<sub>2</sub>O emissions from

agricultural soils fluctuated between 1990 and 2011, although overall emissions were 8.7 percent higher in 2011 than in 1990. Year-to-year fluctuations are largely a reflection of annual variation in weather patterns, synthetic fertilizer use, and crop production. On average, cropland accounted for approximately 65 percent of total direct emissions, while grassland accounted for approximately 35 percent. These percentages are about the same for indirect emissions since forest lands and settlements account for such a small percentage of total indirect emissions. Estimated direct and indirect N<sub>2</sub>O emissions by sub-source category are shown in Table 6-18 and Table 6-19.

Table 6-16: N<sub>2</sub>O Emissions from Agricultural Soils (Tg CO<sub>2</sub> Eq.)

Activity	1990	2005	2007	2008	2009	2010	2011
<b>Direct</b>	<b>192.8</b>	<b>207.0</b>	<b>216.2</b>	<b>211.6</b>	<b>208.5</b>	<b>210.0</b>	<b>208.4</b>
Cropland	119.5	135.5	142.9	138.9	136.2	138.0	137.8
Grassland	73.4	71.5	73.3	72.8	72.4	72.0	70.6
<b>Indirect (All Land-Use Types)</b>	<b>52.4</b>	<b>46.4</b>	<b>60.9</b>	<b>59.2</b>	<b>57.9</b>	<b>58.7</b>	<b>58.1</b>
Cropland	40.2	33.4	48.5	47.1	46.2	47.0	47.1
Grassland	11.9	12.2	11.6	11.3	11.0	10.9	10.4
Forest Land	+	0.1	0.1	0.1	0.1	0.1	0.1
Settlements	0.4	0.6	0.6	0.6	0.6	0.6	0.5
<b>Total</b>	<b>245.3</b>	<b>253.3</b>	<b>277.0</b>	<b>270.8</b>	<b>266.4</b>	<b>268.7</b>	<b>266.5</b>

+ Less than 0.05 Tg CO<sub>2</sub> Eq.

Note: Quality control measures are still underway for Cropland and Grassland results, and estimates will be finalized after the public review.

Table 6-17: N<sub>2</sub>O Emissions from Agricultural Soils (Gg)

Activity	1990	2005	2007	2008	2009	2010	2011
<b>Direct</b>	<b>622</b>	<b>668</b>	<b>697</b>	<b>683</b>	<b>673</b>	<b>677</b>	<b>672</b>
Cropland	385	437	461	448	439	445	444
Grassland	237	231	236	235	233	232	228
<b>Indirect (All Land-Use Types)</b>	<b>169</b>	<b>150</b>	<b>196</b>	<b>191</b>	<b>187</b>	<b>189</b>	<b>188</b>
Cropland	130	108	157	152	149	152	152
Grassland	38	39	37	37	35	35	34
Forest Land	0	+	+	+	+	+	+
Settlements	1	2	2	2	2	2	2
<b>Total</b>	<b>791</b>	<b>817</b>	<b>894</b>	<b>874</b>	<b>859</b>	<b>867</b>	<b>860</b>

+ Less than 0.5 Gg N<sub>2</sub>O

Note: Quality control measures are still underway for Cropland and Grassland results, and estimates will be finalized after the public review.

Table 6-18: Direct N<sub>2</sub>O Emissions from Agricultural Soils by Land Use Type and N Input Type (Tg CO<sub>2</sub> Eq.)

Activity	1990	2005	2007	2008	2009	2010	2011
<b>Cropland</b>	<b>119.6</b>	<b>135.6</b>	<b>143.0</b>	<b>139.0</b>	<b>136.3</b>	<b>138.2</b>	<b>137.9</b>
<b>Mineral Soils</b>	<b>116.7</b>	<b>132.7</b>	<b>140.1</b>	<b>136.1</b>	<b>133.4</b>	<b>135.3</b>	<b>135.0</b>
Synthetic Fertilizer	45.7	52.2	57.0	53.3	51.2	53.0	53.1
Organic Amendment <sup>b</sup>	14.0	17.5	18.7	18.4	18.0	18.0	17.8
Residue N <sup>a</sup>	4.9	5.1	5.0	5.1	5.0	5.1	4.8
Mineralization and Asymbiotic Fixation	52.0	58.0	59.4	59.3	59.1	59.3	59.3



<b>Organic Soils</b>	<b>2.9</b>	<b>2.9</b>	<b>2.9</b>	<b>2.9</b>	<b>2.9</b>	<b>2.9</b>	<b>2.9</b>
<b>Grassland</b>	<b>73.4</b>	<b>71.5</b>	<b>73.3</b>	<b>72.8</b>	<b>72.4</b>	<b>72.1</b>	<b>70.9</b>
Synthetic Fertilizer	2.3	2.5	2.5	2.5	2.5	2.5	2.5
PRP Manure	26.9	25.6	23.9	23.4	23.1	22.8	22.1
Managed Manure	0.1	0.1	0.1	0.1	0.1	0.1	0.1
Sewage Sludge	0.3	0.5	0.5	0.5	0.5	0.5	+
Residue N <sup>c</sup>	2.4	2.7	2.8	2.8	2.8	2.8	2.8
Mineralization and Asymbiotic Fixation	41.3	40.1	43.4	43.4	43.3	43.3	43.3
<b>Total</b>	<b>192.9</b>	<b>207.1</b>	<b>216.3</b>	<b>211.7</b>	<b>208.6</b>	<b>210.3</b>	<b>208.8</b>

<sup>a</sup> Cropland residue N inputs include N in unharvested legumes as well as crop residue N.

<sup>b</sup> Organic amendment inputs include managed manure amendments, daily spread manure amendments, and commercial organic fertilizers (i.e., dried blood, dried manure, tankage, compost, and other).

<sup>c</sup> Grassland residue N inputs include N in ungrazed legumes as well as ungrazed grass residue N.

<sup>d</sup> Accounts for managed manure and daily spread manure amendments that are applied to grassland soils.

Note: Quality control measures are still underway for Cropland and Grassland results, and estimates will be finalized after the public review.

Table 6-19: Indirect N<sub>2</sub>O Emissions from all Land-Use Types (Tg CO<sub>2</sub> Eq.)

Activity	1990	2005	2007	2008	2009	2010	2011
<b>Cropland</b>	<b>40.2</b>	<b>33.4</b>	<b>48.5</b>	<b>47.1</b>	<b>46.2</b>	<b>47.0</b>	<b>47.1</b>
Volatilization & Atm.							
Deposition	13.2	14.7	15.0	14.6	14.3	14.4	14.4
Surface Leaching & Run-Off	27.0	18.7	33.6	32.6	31.9	32.6	32.7
<b>Grassland</b>	<b>11.9</b>	<b>12.2</b>	<b>11.6</b>	<b>11.3</b>	<b>11.3</b>	<b>11.2</b>	<b>10.7</b>
Volatilization & Atm.							
Deposition	7.3	7.8	7.8	7.7	7.7	7.6	7.5
Surface Leaching & Run-Off	4.5	4.3	3.8	3.6	3.6	3.5	3.2
<b>Forest Land</b>	<b>+</b>	<b>0.1</b>	<b>0.1</b>	<b>0.1</b>	<b>0.1</b>	<b>0.1</b>	<b>0.1</b>
Volatilization & Atm.							
Deposition	+	+	+	+	+	+	+
Surface Leaching & Run-Off	+	0.1	0.1	0.1	0.1	0.1	0.1
<b>Settlements</b>	<b>0.4</b>	<b>0.6</b>	<b>0.6</b>	<b>0.6</b>	<b>0.6</b>	<b>0.6</b>	<b>0.5</b>
Volatilization & Atm.							
Deposition	0.1	0.2	0.2	0.2	0.2	0.2	0.2
Surface Leaching & Run-Off	0.2	0.4	0.4	0.4	0.4	0.4	0.4
<b>Total</b>	<b>52.4</b>	<b>46.4</b>	<b>60.9</b>	<b>59.2</b>	<b>58.1</b>	<b>58.9</b>	<b>58.4</b>

+ Less than 0.05 Tg CO<sub>2</sub> Eq.

Note: Quality control measures are still underway for Cropland and Grassland results, and estimates will be finalized after the public review.

Figure 6-3: Major Crops, Average Annual Direct N<sub>2</sub>O Emissions Estimated Using the DAYCENT Model, 1990-2011 (Tg CO<sub>2</sub> Eq./year)

**To be provided:**

**Figure 6-3: Major Crops, Average Annual Direct N<sub>2</sub>O Emissions by State, Estimated Using the DAYCENT Model, 1990–2011 (Tg CO<sub>2</sub> Eq./year)**

Figure 6-4: Grasslands, Average Annual Direct N<sub>2</sub>O Emissions Estimated Using the DAYCENT Model, 1990-2011 (Tg CO<sub>2</sub> Eq./year)

**To be provided:**

**Figure 6-4: Grasslands, Average Annual Direct N<sub>2</sub>O Emissions by State, Estimated Using the DAYCENT Model, 1990–2011 (Tg CO<sub>2</sub> Eq./ year)**

Figure 6-5: Major Crops, Average Annual N Losses Leading to Indirect N<sub>2</sub>O Emissions Estimated Using the DAYCENT Model, 1990-2011 (Gg N/year)

**To be provided:**

**Figure 6-5: Major Crops, Average Annual N Losses Leading to Indirect N<sub>2</sub>O Emissions by State, Estimated Using the DAYCENT Model, 1990–2011 (Gg N/year)**

Figure 6-6: Grasslands, Average Annual N Losses Leading to Indirect N<sub>2</sub>O Emissions Estimated Using the DAYCENT Model, 1990-2011 (Gg N/year)

**To be provided:**

**Figure 6-6: Grasslands, Average Annual N Losses Leading to Indirect N<sub>2</sub>O Emissions by State, Estimated Using the DAYCENT Model, 1990–2011 (Gg N/year)**

## Methodology

The 2006 IPCC Guidelines (IPCC 2006) divide the Agricultural Soil Management source category into five components: (1) direct emissions due to N additions to cropland and grassland mineral soils, including synthetic fertilizers, sewage sludge applications, crop residues, organic amendments, and biological N fixation associated with planting of legumes on cropland and grassland soils; (2) direct emissions from soil organic matter mineralization due to land use and management change, (3) direct emissions from drainage and cultivation of organic cropland soils; (4) direct emissions from soils due to the deposition of manure by livestock on PRP grasslands; and (5) indirect emissions from soils and water due to N additions and manure deposition to soils that lead to volatilization, leaching, or runoff of N and subsequent conversion to N<sub>2</sub>O.

The United States has adopted recommendations from IPCC (2006) on methods for agricultural soil management. These recommendations include (1) estimating the contribution of N from crop residues to indirect soil N<sub>2</sub>O emissions; (2) adopting a revised emission factor for direct N<sub>2</sub>O emissions to the extent that Tier 1 methods are used in the Inventory (described later in this section); (3) removing double counting of emissions from N-fixing crops associated with the biological N fixation and crop residue N input categories; (4) using revised crop residue statistics to compute N inputs to soils based on harvest yield data to the extent that Tier 1 methods are used in the Inventory; (5) accounting for indirect as well as direct emissions from N made available via mineralization of soil organic matter and litter, in addition to asymbiotic fixation<sup>191</sup> (i.e., computing total emissions from managed land); (6) reporting all emissions from managed lands, largely because management affects all processes leading to soil N<sub>2</sub>O emissions; and (7) estimating emissions associated with land use and management change which can significantly change the N mineralization rates from soil organic matter. One recommendation from IPCC (2006) that has not been completely adopted is the accounting of emissions from pasture renewal, which involves occasional plowing to improve forage production. Pastures are replanted occasionally in rotation with annual crops, and this practice is

<sup>191</sup> N inputs from asymbiotic N fixation are not directly addressed in 2006 IPCC Guidelines, but are a component of the total emissions from managed lands and are included in the Tier 3 approach developed for this source.

represented in the inventory. However, renewal of pasture that is not rotated with annual crops occasionally is not common in the United States, and is not estimated.

### Direct N<sub>2</sub>O Emissions

The methodology used to estimate direct emissions from agricultural soil management in the United States is based on a combination of IPCC Tier 1 and 3 approaches. A Tier 3 process-based model (DAYCENT) was used to estimate direct emissions from major crops on mineral (i.e., non-organic) soils; as well as most of the direct emissions from grasslands (Del Grosso et al. 2010). The Tier 3 approach has been specifically designed and tested to estimate N<sub>2</sub>O emissions in the United States, accounting for more of the environmental and management influences on soil N<sub>2</sub>O emissions than the IPCC Tier 1 method (see Box 6-1 for further elaboration). Moreover, the Tier 3 approach allows for the inventory to address direct N<sub>2</sub>O emissions and soil C stock changes from mineral cropland soils in a single analysis. Carbon and N dynamics are linked in plant-soil systems through biogeochemical processes of microbial decomposition and plant production (McGill and Cole 1981). Coupling the two source categories (i.e., agricultural soil C and N<sub>2</sub>O) in a single inventory analysis ensures that there is a consistent treatment of the processes and interactions are taken into account between C and N cycling in soils.

The Tier 3 approach was based on the cropping and land use histories recorded in the USDA National Resources Inventory (NRI) survey (USDA-NRCS 2009). The NRI is a statistically-based sample of all non-federal land, and includes 380,956 points in agricultural land for the conterminous United States and Hawaii that are included in the Tier 3 method.<sup>192</sup> Each point is associated with an “expansion factor” that allows scaling of N<sub>2</sub>O emissions from NRI points to the entire country (i.e., each expansion factor represents the amount of area with the same land-use/management history as the sample point). Land-use and some management information (e.g., crop type, soil attributes, and irrigation) were originally collected for each NRI point on a 5-year cycle beginning in 1982. For cropland, data were collected for 4 out of 5 years in the cycle (i.e., 1979-1982, 1984-1987, 1989-1992, and 1994-1997). However, the NRI program began collecting annual data in 1998, and data are currently available through 2007.

[BEGIN BOX]

#### Box 6-1. Tier 1 vs. Tier 3 Approach for Estimating N<sub>2</sub>O Emissions

The IPCC (2006) Tier 1 approach is based on multiplying activity data on different N inputs (e.g., synthetic fertilizer, manure, N fixation, etc.) by the appropriate default IPCC emission factors to estimate N<sub>2</sub>O emissions on an input-by-input basis. The Tier 1 approach requires a minimal amount of activity data, readily available in most countries (e.g., total N applied to crops); calculations are simple; and the methodology is highly transparent. In contrast, the Tier 3 approach developed for this Inventory employs a process-based model (i.e., DAYCENT) that represents the interaction of N inputs and the environmental conditions at specific locations. Consequently, the Tier 3 approach is likely to produce more accurate estimates; it accounts more comprehensively for land-use and management impacts and their interaction with environmental factors (i.e., weather patterns and soil characteristics), which will enhance or dampen anthropogenic influences. However, the Tier 3 approach requires more detailed activity data (e.g., crop-specific N amendment rates), additional data inputs (e.g., daily weather, soil types, etc.), and considerable computational resources and programming expertise. The Tier 3 methodology is less transparent, and thus it is critical to evaluate the output of Tier 3 methods against measured data in order to demonstrate the adequacy of the method for estimating emissions (IPCC 2006). Another important difference between the Tier 1 and Tier 3 approaches relates to assumptions regarding N cycling. Tier 1 assumes that N added to a system is subject to N<sub>2</sub>O emissions only during that year and cannot be stored in soils and contribute to N<sub>2</sub>O emissions in subsequent years. This is a simplifying assumption that is likely to create bias in estimated N<sub>2</sub>O emissions for a specific year. In contrast, the process-based model used in the Tier 3 approach includes such legacy effects when N

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<sup>192</sup> NRI points were classified as agricultural if under grassland or cropland management between 1990 and 2007. There are another 148,731 NRI survey points that are cropland (non-major crops) and are not included in the Tier 3 analysis. The soil N<sub>2</sub>O emissions associated with these points are estimated with the IPCC Tier 1 method.



added to soils is re-mineralized from soil organic matter and emitted as N<sub>2</sub>O during subsequent years.

[END BOX]

The Tier 1 IPCC (2006) methodology was used to estimate (1) direct emissions from non-major crops on mineral soils (e.g., barley, oats, vegetables, and other crops); (2) the portion of the grassland direct emissions that were not estimated with the Tier 3 DAYCENT model (i.e., federal grasslands); and (3) direct emissions from drainage and cultivation of organic cropland soils.

#### Major Crop Types on Mineral Cropland Soils

The DAYCENT biogeochemical model (Parton et al. 1998; Del Grosso et al. 2001, 2011) was used to estimate direct N<sub>2</sub>O emissions from mineral cropland soils that are managed for production of major crops according to the National Resources Inventory (USDA-NRCS 2009), including corn, soybeans, wheat, alfalfa hay, other hay, sorghum, and cotton. Major crops are grown on approximately 90 percent of total croplands in the United States. Crop production is simulated with NASA-CASA production algorithm (Potter et al. 1993, Potter et al. 2007) using the MODIS Enhanced Vegetation Index (EVI) products, MOD13Q1 and MYD13Q1, with a pixel resolution of 250m. A prediction algorithm was developed to estimate EVI (Gurung et al. 2009) for gap-filling during years over the inventory time series when EVI data were not available (e.g., Data from the MODIS sensor were only available after 2000 following the launch of the Aqua and Terra Satellites; see Annex 3.11 for more information). DAYCENT also simulated soil organic matter decomposition, greenhouse gas fluxes, and key biogeochemical processes affecting N<sub>2</sub>O emissions.

DAYCENT was used to estimate direct N<sub>2</sub>O emissions due to mineral N available from the following sources: (1) the application of synthetic fertilizers; (2) the application of livestock manure; (3) the retention of crop residues (i.e., leaving residues in the field after harvest instead of burning or collecting residues); and (4) mineralization of soil organic matter and litter, in addition to asymbiotic fixation. Note that commercial organic fertilizers are addressed with the Tier 1 method because county-level application data would be needed to simulate applications in DAYCENT, and currently data are only available at the national scale. The third and fourth sources are generated internally by the DAYCENT model.

Synthetic fertilizer data were based on fertilizer use and rates by crop type for different regions of the United States that were obtained primarily from the USDA Economic Research Service Cropping Practices Survey (USDA-ERS 1997, 2011) with additional data from other sources, including the National Agricultural Statistics Service (NASS 1992, 1999, 2004). Frequency and rates of livestock manure application to cropland during 1997 were estimated from data compiled by the USDA Natural Resources Conservation Service (Edmonds et al. 2003), and then adjusted using county-level estimates of manure available for application in other years. Specifically, county-scale ratios of manure available for application to soils in other years relative to 1997 were used to adjust the area amended with manure (see Annex 3.11 for further details). Greater availability of managed manure N relative to 1997 was, thus, assumed to increase the area amended with manure, while reduced availability of manure N relative to 1997 was assumed to reduce the amended area. Data on the county-level N available for application were estimated for managed systems based on the total amount of N excreted in manure minus N losses during storage and transport, and including the addition of N from bedding materials. Nitrogen losses include direct nitrous oxide emissions, volatilization of ammonia and NO<sub>x</sub>, runoff and leaching, and poultry manure used as a feed supplement. For unmanaged systems, it is assumed that no N losses or additions occur prior to the application of manure to the soil. More information on livestock manure production is available in the Manure Management Section 6.2 and Annex 3.10.

The IPCC approach considers crop residue N and N mineralized from soil organic matter as activity data. However, they are not treated as activity data in DAYCENT simulations because residue production, symbiotic N fixation (e.g., legumes), mineralization of N from soil organic matter, and asymbiotic N fixation are internally generated by the model as part of the simulation. In other words, DAYCENT accounts for the influence of symbiotic N fixation, mineralization of N from soil organic matter, retention of crop residue on N<sub>2</sub>O emissions, and asymbiotic N fixation, but these are not model inputs. The DAYCENT simulations also accounted for the approximately 3 percent of grain crop residues that were assumed to be burned based on state inventory data (ILENR 1993, Oregon Department of Energy 1995, Noller 1996, Wisconsin Department of Natural Resources 1993, and Cibrowski 1996), and therefore

1 did not contribute to soil N<sub>2</sub>O emissions.

2 Additional sources of data were used to supplement the mineral N (USDA ERS 1997, 2011), livestock manure  
3 (Edmonds et al. 2003), and land-use information (USDA-NRCS 2009). The Conservation Technology Information  
4 Center (CTIC 2004) provided annual data on tillage activity at the county level since 1989, with adjustments for  
5 long-term adoption of no-till agriculture (Towery 2001). Tillage data has an influence on soil organic matter  
6 decomposition and subsequent soil N<sub>2</sub>O emissions, and tillage practices are included in the estimation throughout  
7 the time series. The time series of tillage data ended in 2004, so further changes in tillage practices since 2004 are  
8 not currently captured in the inventory. Daily weather data were used as an input in the model simulations, based on  
9 gridded weather data at a 32 km scale from the North America Regional Reanalysis Product (NARR) (Mesinger et  
10 al. 2006). Soil attributes were obtained from the Soil Survey Geographic Database (SSURGO) (Soil Survey Staff  
11 2011).

12 Each NRI point was run 100 times as part of the uncertainty assessment, yielding a total of over 18 million  
13 simulations for the analysis. Soil N<sub>2</sub>O emission estimates from DAYCENT were adjusted using a structural  
14 uncertainty estimator accounting for uncertainty in model algorithms and parameter values (Del Grosso et al. 2010).  
15 Soil N<sub>2</sub>O emissions and 95 percent confidence intervals were estimated for each year between 1990 and 2007, but  
16 emissions from 2008 to 2011 were assumed to be similar to 2007 because no additional activity data are currently  
17 available from the NRI for the latter years.

18 Nitrous oxide emissions from managed agricultural lands are the result of interactions among anthropogenic  
19 activities (e.g., N fertilization, manure application, tillage) and other driving variables, such as weather and soil  
20 characteristics. These factors influence key processes associated with N dynamics in the soil profile, including  
21 immobilization of N by soil microbial organisms, decomposition of organic matter, plant uptake, leaching, runoff,  
22 and volatilization, as well as the processes leading to N<sub>2</sub>O production (nitrification and denitrification). It is not  
23 possible to partition N<sub>2</sub>O emissions into each anthropogenic activity directly from model outputs due to the  
24 complexity of the interactions (e.g., N<sub>2</sub>O emissions from synthetic fertilizer applications cannot be distinguished  
25 from those resulting from manure applications). To approximate emissions by activity, the amount of mineral N  
26 added to the soil for each of these sources was determined and then divided by the total amount of mineral N that  
27 was made available in the soil according to the DAYCENT model. The percentages were then multiplied by the  
28 total of direct N<sub>2</sub>O emissions in order to approximate the portion attributed to key practices. This approach is only  
29 an approximation because it assumes that all N made available in soil has an equal probability of being released as  
30 N<sub>2</sub>O, regardless of its source, which is unlikely to be the case (Delgado et al., 2009). However, this approach allows  
31 for further disaggregation of emissions by source of N, which is valuable for reporting purposes and is analogous to  
32 the reporting associated with the IPCC (2006) Tier 1 method, in that it associates portions of the total soil N<sub>2</sub>O  
33 emissions with individual sources of N.

#### 34 Non-Major Crop Types on Mineral Cropland Soils

35 The IPCC (2006) Tier 1 methodology was used to estimate direct N<sub>2</sub>O emissions for mineral cropland soils that are  
36 managed for production of non-major crop types, including barley, oats, tobacco, sugarcane, sugar beets,  
37 sunflowers, millet, rice, peanuts, and other crops that were not included in the DAYCENT simulations. Estimates of  
38 direct N<sub>2</sub>O emissions from N applications to non-major crop types were based on mineral soil N that was made  
39 available from the following practices: (1) the application of synthetic commercial fertilizers; (2) application of  
40 managed manure and non-manure commercial organic fertilizers;<sup>193</sup> and (3) the retention of above- and below-  
41 ground crop residues in agricultural fields (i.e., crop biomass that is not harvested). Non-manure organic  
42 amendments were not included in the DAYCENT simulations because county-level data were not available.  
43 Consequently, non-manure organic amendments, as well as additional manure that was not added to major crops in  
44 the DAYCENT simulations, were included in the Tier 1 analysis. The influence of land-use change on soil N<sub>2</sub>O  
45 emissions from non-major crops has not been addressed in this analysis, but is a planned improvement. The  
46 following sources were used to derive activity data:

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<sup>193</sup> Commercial organic fertilizers include dried blood, tankage, compost, and other; dried manure and sewage sludge that are used as commercial fertilizer have been excluded to avoid double counting. The dried manure N is counted with the non-commercial manure applications, and sewage sludge is assumed to be applied only to grasslands.

- A process-of-elimination approach was used to estimate synthetic N fertilizer additions for non-major crops, because little information exists on their fertilizer application rates. The total amount of fertilizer used on farms has been estimated by the USGS from sales records (Ruddy et al. 2006), and these data were aggregated to obtain state-level N additions to farms. After subtracting the portion of fertilizer applied to major crops and grasslands (see sections on Major Crops and Grasslands for information on data sources), the remainder of the total fertilizer used on farms was assumed to be applied to non-major crops.
- Similarly, a process-of-elimination approach was used to estimate manure N additions for non-major crops, because little information exists on application rates for these crops. The amount of manure N applied to major crops and grasslands was subtracted from total manure N available for land application (see sections on Major Crops and Grasslands for information on data sources), and this difference was assumed to be applied to non-major crops.
- Non-manure, non-sewage-sludge commercial organic fertilizer additions were based on organic fertilizer consumption statistics, which were converted to units of N using average organic fertilizer N content (TVA 1991 through 1994; AAPFCO 1995 through 2010). Manure and sewage sludge components were subtracted from total commercial organic fertilizers to avoid double counting.
- Crop residue N was derived by combining amounts of above- and below-ground biomass, which were determined based on crop production yield statistics (USDA 1994, 1998, 2003, 2005, 2006, 2008, 2009, 2010a), dry matter fractions (IPCC 2006), linear equations to estimate above-ground biomass given dry matter crop yields from harvest (IPCC 2006), ratios of below-to-above-ground biomass (IPCC 2006), and N contents of the residues (IPCC 2006). Approximately 3 percent of the crop residues were burned and therefore did not contribute to soil N<sub>2</sub>O emissions, based on state inventory data (ILENR 1993, Oregon Department of Energy 1995, Noller 1996, Wisconsin Department of Natural Resources 1993, and Cibrowski 1996).

The total increase in soil mineral N from applied fertilizers and crop residues was multiplied by the IPCC (2006) default emission factor to derive an estimate of direct N<sub>2</sub>O emissions from non-major crop types.

#### Drainage and Cultivation of Organic Cropland Soils

The IPCC (2006) Tier 1 methods were used to estimate direct N<sub>2</sub>O emissions due to drainage and cultivation of organic soils at a state scale. State-scale estimates of the total area of drained and cultivated organic soils were obtained from the *National Resources Inventory* (NRI) (USDA-NRCS 2009) using soils data from the Soil Survey Geographic Database (SSURGO) (Soil Survey Staff 2011). Temperature data from Daly et al. (1994, 1998) were used to subdivide areas into temperate and sub-tropical climates using the climate classification from IPCC (2006). Data were available for 1982, 1992, 1997, 2002 and 2007. To estimate annual emissions, the total temperate area was multiplied by the IPCC default emission factor for temperate regions, and the total sub-tropical area was multiplied by the average of the IPCC default emission factors for temperate and tropical regions (IPCC 2006).

#### Direct N<sub>2</sub>O Emissions from Grassland Soils

As with N<sub>2</sub>O from croplands, the Tier 3 process-based DAYCENT model and Tier 1 method described in IPCC (2006) were combined to estimate emissions from grasslands. Grasslands include pastures and rangelands used for grass forage production, where the primary use is livestock grazing. Rangelands are typically extensive areas of native grasslands that are not intensively managed, while pastures are often seeded grasslands, possibly following tree removal, which may or may not be improved with practices such as irrigation and interseeding legumes.

DAYCENT was used to simulate N<sub>2</sub>O emissions from NRI survey locations (USDA-NRCS 2009) on non-federal grasslands resulting from manure deposited by livestock directly onto pastures and rangelands (i.e., PRP manure), N fixation from legume seeding, managed manure amendments (i.e., manure other than PRP manure), and synthetic fertilizer application. Other N inputs were simulated within the DAYCENT framework, including N input from mineralization due to decomposition of soil organic matter and N inputs from senesced grass litter, as well as asymbiotic fixation of N from the atmosphere. The simulations used the same weather, soil, and synthetic N fertilizer data as discussed under the section for Major Crop Types on Mineral Cropland Soils. Managed manure N amendments to grasslands were estimated from Edmonds et al. (2003) and adjusted for annual variation using data on the availability of managed manure N for application to soils, according to methods described in the Manure Management section (Section 6.2) and Annex 3.10. Biological N fixation is simulated within DAYCENT and therefore was not an input to the model.

Manure N deposition from grazing animals (i.e., PRP manure) is another key input of N to grasslands. The amounts of PRP manure N applied on non-federal grasslands for each NRI point were generated internally by the DAYCENT model based on simulated plant biomass and assumed grazing intensity. DAYCENT simulations of non-federal grasslands accounted for approximately 54 percent of total PRP manure. The remainder of the PRP manure N excretions in each state was assumed to be excreted on federal grasslands, and the N<sub>2</sub>O emissions were estimated using the IPCC (2006) Tier 1 method with IPCC default emission factors. Sewage sludge was assumed to be applied on grasslands because of the heavy metal content and other pollutants in human waste that limit its use as an amendment to croplands. Sewage sludge application was estimated from data compiled by EPA (1993, 1999, 2003), McFarland (2001), and NEBRA (2007). Sewage sludge data on soil amendments to agricultural lands were only available at the national scale, and it was not possible to associate application with specific soil conditions and weather at the county scale. Therefore, DAYCENT could not be used to simulate the influence of sewage sludge amendments on N<sub>2</sub>O emissions from grassland soils, and consequently, emissions from sewage sludge were estimated using the IPCC (2006) Tier 1 method.

Grassland area data were consistent with the Land Representation reported in Section 7.1. Data were obtained from the U.S. Department of Agriculture *National Resources Inventory*<sup>194</sup> and the U.S. Geological Survey (USGS) National Land Cover Dataset,<sup>195</sup> which were reconciled with the Forest Inventory and Analysis Data.<sup>196</sup> The area data for pastures and rangeland were aggregated to the county level to estimate non-federal and federal grassland areas.

Tier 1 estimates of N<sub>2</sub>O emissions for the PRP manure N deposited on federal grasslands and applied sewage sludge N were produced by multiplying the N input by the appropriate emission factor. Tier 1 estimates for emissions from manure N were calculated at the state level and aggregated to the entire country but emission from sewage sludge N were calculated exclusively at the national scale.

Each NRI point was run 100 times as part of the uncertainty assessment, yielding a total of over 18 million simulation runs for the analysis. Soil N<sub>2</sub>O emission estimates from DAYCENT were adjusted using a structural uncertainty estimator accounting for uncertainty in model algorithms and parameter values (Del Grosso et al. 2010). Soil N<sub>2</sub>O emissions and 95 percent confidence intervals were estimated for each year between 1990 and 2007, but emissions from 2008 to 2011 were assumed to be similar to 2007 because no additional activity data are currently available from the NRI for the latter years.

### **Total Direct N<sub>2</sub>O Emissions from Cropland and Grassland Soils**

Annual direct emissions from major and non-major crops on mineral cropland soils, from drainage and cultivation of organic cropland soils, and from grassland soils were summed to obtain the total direct N<sub>2</sub>O emissions from agricultural soil management (see Table 6-16 and Table 6-17).

### **Indirect N<sub>2</sub>O Emissions**

This section describes the methods used for estimating indirect soil N<sub>2</sub>O emissions from all land-use types (i.e., croplands, grasslands, forest lands, and settlements). Indirect N<sub>2</sub>O emissions occur when mineral N made available through anthropogenic activity is transported from the soil either in gaseous or aqueous forms and later converted into N<sub>2</sub>O. There are two pathways leading to indirect emissions. The first pathway results from volatilization of N as NO<sub>x</sub> and NH<sub>3</sub> following application of synthetic fertilizer, organic amendments (e.g., manure, sewage sludge), and deposition of PRP manure. N made available from mineralization of soil organic matter and residue, including N incorporated into crops and forage from symbiotic N fixation, and input of N from asymbiotic fixation also contributes to volatilized N emissions. Volatilized N can be returned to soils through atmospheric deposition, and a portion of the deposited N is emitted to the atmosphere as N<sub>2</sub>O. The second pathway occurs via leaching and runoff of soil N (primarily in the form of NO<sub>3</sub><sup>-</sup>) that was made available through anthropogenic activity on managed lands, mineralization of soil organic matter and residue, including N incorporated into crops and forage from symbiotic N fixation, and inputs of N into the soil from asymbiotic fixation. The NO<sub>3</sub><sup>-</sup> is subject to denitrification in water

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<sup>194</sup> USDA-NRCS 2009, Nusser and Goebel 1997, <<http://www.ncgc.nrcs.usda.gov/products/nri/index.htm>>

<sup>195</sup> NLCD, Vogelmann et al. 2001, <<http://www.mrlc.gov>>

<sup>196</sup> Forest Inventory and Analysis Data, <<http://fia.fs.us/tools-data/data>>

bodies, which leads to N<sub>2</sub>O emissions. Regardless of the eventual location of the indirect N<sub>2</sub>O emissions, the emissions are assigned to the original source of the N for reporting purposes, which here includes croplands, grasslands, forest lands, and settlements.

#### Indirect N<sub>2</sub>O Emissions from Atmospheric Deposition of Volatilized N from Managed Soils

As in the direct emissions calculation, the Tier 3 DAYCENT model and IPCC (2006) Tier 1 methods were combined to estimate the amount of N that was volatilized and eventually emitted as N<sub>2</sub>O. DAYCENT was used to estimate N volatilization for land areas whose direct emissions were simulated with DAYCENT (i.e., major croplands and most grasslands). The N inputs included are the same as described for direct N<sub>2</sub>O emissions in the sections on major crops and grasslands. Nitrogen volatilization for all other areas was estimated using the Tier 1 method and default IPCC fractions for N subject to volatilization (i.e., N inputs on non-major croplands, PRP manure N excretion on federal grasslands, sewage sludge application on grasslands). The Tier 1 method and default fractions were also used to estimate N subject to volatilization from N inputs on settlements and forest lands (see the Land Use, Land-Use Change, and Forestry chapter). For the volatilization data generated from both the DAYCENT and Tier 1 approaches, the IPCC (2006) default emission factor was used to estimate indirect N<sub>2</sub>O emissions occurring due to re-deposition of the volatilized N (Table 6-19).

#### Indirect N<sub>2</sub>O Emissions from Leaching/Runoff

As with the calculations of indirect emissions from volatilized N, the Tier 3 DAYCENT model and IPCC (2006) Tier 1 method were combined to estimate the amount of N that was subject to leaching and surface runoff into water bodies, and eventually emitted as N<sub>2</sub>O. DAYCENT was used to simulate the amount of N transported from lands used to produce major crops and most grasslands. N transport from all other areas was estimated using the Tier 1 method and the IPCC (2006) default factor for the proportion of N subject to leaching and runoff. This N transport estimate includes N applications on croplands that produce non-major crops, sewage sludge amendments on grasslands, PRP manure N excreted on federal grasslands, and N inputs on settlements and forest lands. For both the DAYCENT and IPCC (2006) Tier 1 methods, nitrate leaching was assumed to be an insignificant source of indirect N<sub>2</sub>O in cropland and grassland systems in arid regions as discussed in IPCC (2006). In the United States, the threshold for significant nitrate leaching is based on the potential evapotranspiration (PET) and rainfall amount, similar to IPCC (2006), and is assumed to be negligible in regions where the amount of precipitation plus irrigation does not exceed 80 percent of PET. For leaching and runoff data estimated by the DAYCENT and Tier 1 approaches, the IPCC (2006) default emission factor was used to estimate indirect N<sub>2</sub>O emissions that occur in groundwater and waterways (Table 6-19).

### Uncertainty and Time-Series Consistency

Uncertainty was estimated for each of the following five components of N<sub>2</sub>O emissions from agricultural soil management: (1) direct emissions calculated by DAYCENT; (2) the components of indirect emissions (N volatilized and leached or runoff) calculated by DAYCENT; (3) direct emissions calculated with the IPCC (2006) Tier 1 method; (4) the components of indirect emissions (N volatilized and leached or runoff) calculated with the IPCC (2006) Tier 1 method; and (5) indirect emissions calculated with the IPCC (2006) Tier 1 method. Uncertainty in direct emissions, which account for the majority of N<sub>2</sub>O emissions from agricultural management, as well as the components of indirect emissions calculated by DAYCENT were estimated with a Monte Carlo Analysis, addressing uncertainties in model inputs and structure (i.e., algorithms and parameterization) (Del Grosso et al. 2010). Uncertainties in direct emissions calculated with the IPCC (2006) Tier 1 method, the proportion of volatilization and leaching or runoff estimated with the IPCC (2006) Tier 1 method, and indirect N<sub>2</sub>O emissions were estimated with a simple error propagation approach (IPCC 2006). Additional details on the uncertainty methods are provided in Annex 3.11.

Uncertainties from the Tier 1 and Tier 3 (i.e., DAYCENT) estimates were combined using simple error propagation (IPCC 2006).

Table 6-20: Quantitative Uncertainty Estimates of N<sub>2</sub>O Emissions from Agricultural Soil Management in 2011 (Tg CO<sub>2</sub> Eq. and Percent)

Source	Gas	2011 Emission Estimate	Uncertainty Range Relative to Emission Estimate
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		(Tg CO <sub>2</sub> Eq.)	(Tg CO <sub>2</sub> Eq.)		(%)	
			Lower Bound	Upper Bound	Lower Bound	Upper Bound
Direct Soil N <sub>2</sub> O Emissions	N <sub>2</sub> O	209.1	132.6	364.2	-37%	74%
Indirect Soil N <sub>2</sub> O Emissions	N <sub>2</sub> O	58.4	28.1	146.1	-52%	150%

Note: Due to lack of data, uncertainties in managed manure N production, PRP manure N production, other organic fertilizer amendments, indirect losses of N in the DAYCENT simulations, and sewage sludge amendments to soils are currently treated as certain; these sources of uncertainty will be included in future Inventories.

Note: Quality control measures are still underway, and estimates will be finalized after the public review.

Methodological recalculations were applied to the entire time series to ensure time-series consistency from 1990 through 2011. Details on the emission trends through time are described in more detail in the Methodology section, above.

## QA/QC and Verification

DAYCENT results for N<sub>2</sub>O emissions and NO<sub>3</sub><sup>-</sup> leaching were compared with field data representing various cropland and grassland systems, soil types, and climate patterns (Del Grosso et al. 2005, Del Grosso et al. 2008), and further evaluated by comparing to emission estimates produced using the IPCC (2006) Tier 1 method for the same sites. Nitrous oxide measurement data were available for 11 sites in the United States and one in Australia, representing over 30 different combinations of fertilizer treatments and cultivation practices. DAYCENT estimates of N<sub>2</sub>O emissions were closer to measured values at most sites compared to the IPCC Tier 1 estimate (Figure 6-7). In general, IPCC Tier 1 methodology tends to over-estimate emissions when observed values are low and under-estimate emissions when observed values are high, while DAYCENT estimates are less biased. DAYCENT accounts for key site-level factors (weather, soil characteristics, and management) that are not addressed in the IPCC Tier 1 Method, and thus the model is better able to represent the variability in N<sub>2</sub>O emissions. Nitrate leaching data were available for three sites in the United States representing nine different combinations of fertilizer amendments. DAYCENT does have a tendency to under-estimate small emission rates; estimates are increased to correct for this bias based on a statistical model derived from the comparison of model estimates to measurements (See Annex 3.11 for more information). Regardless, the comparison demonstrates that DAYCENT provides relatively high predictive capability for N<sub>2</sub>O emissions and NO<sub>3</sub><sup>-</sup> leaching, and is an improvement over the IPCC Tier 1 method.

Figure 6-7: Comparison of Measured Emissions at Field Sites and Modeled Emissions Using the DAYCENT Simulation Model

### To be provided:

#### Figure 6-7: Comparison of Measured Emissions at Field Sites with Modeled Emissions using the DAYCENT Simulation Model

DAYCENT simulations had errors in the PRP manure N application that were corrected. Errors were also identified in the level of N uptake by plants that resulted in limited N availability for microbial transformations including nitrification and denitrification. The availability of N to the plants was modified, and the evaluation shows the improved fit of the model to measured N<sub>2</sub>O emissions (Figure 6-7). Crop harvest indices also had errors that were corrected.

Spreadsheets containing input data and probability distribution functions required for DAYCENT simulations of major croplands and grasslands and unit conversion factors were checked, as were the program scripts that were used to run the Monte Carlo uncertainty analysis. Several errors were identified following re-organization of the calculation spreadsheets, and corrective actions have been taken. In particular, some of the links between spreadsheets were missing or needed to be modified. Spreadsheets containing input data, emission factors, and calculations required for the Tier 1 approach were checked and no errors were found.

Comparisons of C stocks estimated by DAYCENT with measured data have demonstrated that the model is under-estimating the amount of C in soils on average. This is due to higher than expected decomposition rates in the

model simulations. This effect will also have an influence on estimated soil N<sub>2</sub>O emission due to the linkage between decomposition and mineralization of nitrogen in the soil. Corrective actions are currently underway, and quality control measures will be finalized after public review.

## Recalculations Discussion

Methodological recalculations in this year's Inventory were associated with the following improvements: 1) incorporation of MODIS Enhanced Vegetation Index as to reduce uncertainties in the estimation of crop production and subsequent carbon input to the soil; 2) using the National Resources Inventory (NRI) as the basis for crop histories and land use change (USDA-NRCS 2009); 3) addition of specific tillage practices with statistics from Conservation Technology and Information Center (CTIC 2004); 4) extension of the N fertilizer activity data with new USDA statistics on fertilizer use through 2010 (USDA-ERS 2011); and 5) N<sub>2</sub>O emissions from rice cultivation were estimated with the recommended emission factor from the IPCC (2006). These changes resulted in an increase in emissions of approximately 24 per cent on average relative to the previous Inventory. The differences are partly due to the broader scope of the current Inventory that includes the influence of land use change on mineral N availability in soils, which is a key driver of nitrification and denitrification. Synthetic fertilizer rates are also higher for crops based on the USDA statistics. Other differences are still under investigation and will be finalized after public review.

## Planned Improvements

An automated quality assurance/quality control system is currently under development for the Tier 3 method that is used to estimate the majority of emissions associated with this source category. Currently, quality control is conducted by manual graphing and queries to determine if values are outside of an expected range. The new system will automatically create graphs, maps and conduct range checks to improve efficiency in this important step for the inventory analysis. This development will ensure a more thorough review of the inventory results.

Another improvement is to reconcile the amount of crop residues burned with the Field Burning of Agricultural Residues source category (Section 6.5). The methodology for Field Burning of Agricultural Residues was significantly updated recently, but the new estimates of crop residues burned were not incorporated into the DAYCENT runs for the Agricultural Soil Management source. In the next Inventory report, the estimates will be reconciled; meanwhile, the estimates presented in this section use the same methodology as used in previous Inventory reports for determining crop residues burned.

## 6.5. Field Burning of Agricultural Residues (IPCC Source Category 4F)

Farming activities produce large quantities of agricultural crop residues, and farmers use or dispose of these residues in a variety of ways. For example, agricultural residues can be left on or plowed into the field; composted and then applied to soils; landfilled; or burned in the field. Alternatively, they can be collected and used as fuel, animal bedding material, supplemental animal feed, or construction material. Field burning of crop residues is not considered a net source of CO<sub>2</sub>, because the C released to the atmosphere as CO<sub>2</sub> during burning is assumed to be reabsorbed during the next growing season. Crop residue burning is, however, a net source of CH<sub>4</sub>, N<sub>2</sub>O, CO, and NO<sub>x</sub>, which are released during combustion.

Field burning is not a common method of agricultural residue disposal in the United States. The primary crop types whose residues are typically burned in the United States are corn, cotton, lentils, rice, soybeans, sugarcane, and wheat (McCarty 2009). In 2011, CH<sub>4</sub> and N<sub>2</sub>O emissions from field burning were 0.2 Tg CO<sub>2</sub> Eq. (10 Gg) and 0.1 Tg CO<sub>2</sub> Eq. (0.3 Gg), respectively. Annual emissions from this source over the period 1990 to 2011 have remained relatively constant, averaging approximately 0.2 Tg CO<sub>2</sub> Eq. (10 Gg) of CH<sub>4</sub> and 0.1 Tg CO<sub>2</sub> Eq. (0.3 Gg) of N<sub>2</sub>O (see Table 6-21 and Table 6-22).

Table 6-21: CH<sub>4</sub> and N<sub>2</sub>O Emissions from Field Burning of Agricultural Residues (Tg CO<sub>2</sub> Eq.)

Gas/Crop Type	1990	2005	2007	2008	2009	2010	2011
<b>CH<sub>4</sub></b>	<b>0.2</b>	<b>0.2</b>	<b>0.2</b>	<b>0.2</b>	<b>0.2</b>	<b>0.2</b>	<b>0.2</b>
Corn	+	+	+	+	+	+	+
Cotton	+	+	+	+	+	+	+
Lentils	+	+	+	+	+	+	+
Rice	+	+	0.1	0.1	0.1	0.1	+

Soybeans	+	+	+	+	+	+	+
Sugarcane	+	+	+	+	+	+	+
Wheat	0.1	0.1	0.1	0.1	0.1	0.1	0.1
<b>N<sub>2</sub>O</b>	<b>0.1</b>	<b>0.1</b>	<b>0.1</b>	<b>0.1</b>	<b>0.1</b>	<b>0.1</b>	<b>0.1</b>
Corn	+	+	+	+	+	+	+
Cotton	+	+	+	+	+	+	+
Lentils	+	+	+	+	+	+	+
Rice	+	+	+	+	+	+	+
Soybeans	+	+	+	+	+	+	+
Sugarcane	+	+	+	+	+	+	+
Wheat	+	+	+	+	+	+	+
<b>Total</b>	<b>0.3</b>	<b>0.2</b>	<b>0.3</b>	<b>0.3</b>	<b>0.3</b>	<b>0.3</b>	<b>0.3</b>

+ Less than 0.05 Tg CO<sub>2</sub> Eq.

Note: Totals may not sum due to independent rounding.

1 Table 6-22: CH<sub>4</sub>, N<sub>2</sub>O, CO, and NO<sub>x</sub> Emissions from Field Burning of Agricultural Residues (Gg)

Gas/Crop Type	1990	2005	2007	2008	2009	2010	2011
<b>CH<sub>4</sub></b>	<b>10</b>	<b>8</b>	<b>11</b>	<b>11</b>	<b>11</b>	<b>11</b>	<b>10</b>
Corn	1	1	1	1	1	1	1
Cotton	+	+	+	+	+	+	+
Lentils	+	+	+	+	+	+	+
Rice	2	2	3	3	3	3	2
Soybeans	1	1	1	1	1	1	1
Sugarcane	1	1	1	1	2	1	2
Wheat	5	3	4	4	4	4	4
<b>N<sub>2</sub>O</b>	<b>+</b>	<b>+</b>	<b>+</b>	<b>+</b>	<b>+</b>	<b>+</b>	<b>+</b>
Corn	+	+	+	+	+	+	+
Cotton	+	+	+	+	+	+	+
Lentils	+	+	+	+	+	+	+
Rice	+	+	+	+	+	+	+
Soybeans	+	+	+	+	+	+	+
Sugarcane	+	+	+	+	+	+	+
Wheat	+	+	+	+	+	+	+
<b>CO</b>	<b>205</b>	<b>166</b>	<b>225</b>	<b>224</b>	<b>226</b>	<b>227</b>	<b>205</b>
<b>NO<sub>x</sub></b>	<b>6</b>	<b>6</b>	<b>8</b>	<b>7</b>	<b>7</b>	<b>8</b>	<b>7</b>

+ Less than 0.5 Tg CO<sub>2</sub> Eq.

Note: Totals may not sum due to independent rounding.

## 2 Methodology

3 The Tier 2 methodology used for estimating greenhouse gas emissions from field burning of agricultural residues in  
4 the United States is consistent with IPCC (2006) (for more details, see Box 6-2). In order to estimate the amounts of  
5 C and N released during burning, the following equation was used:

$$6 \quad \text{C or N released} = \Sigma \text{ over all crop types and states (Area Burned} \div \text{Crop Area Harvested} \times \text{Crop Production} \times \\
7 \quad \text{Residue/Crop Ratio} \times \text{Dry Matter Fraction} \times \text{Burning Efficiency} \times \text{Combustion Efficiency} \times \text{Fraction of C or N})$$

8 where,

9 Area Burned	= Total area of crop burned, by state
10 Crop Area Harvested	= Total area of crop harvested, by state
11 Crop Production	= Annual production of crop in Gg, by state
12 Residue/Crop Ratio	= Amount of residue produced per unit of crop production, by state
13 Dry Matter Fraction	= Amount of dry matter per unit of biomass for a crop
14 Fraction of C or N	= Amount of C or N per unit of dry matter for a crop
15 Burning Efficiency	= The proportion of prefire fuel biomass consumed <sup>197</sup>

<sup>197</sup> In IPCC/UNEP/OECD/IEA (1997), the equation for C or N released contains the variable ‘fraction oxidized in burning.’



Combustion Efficiency = The proportion of C or N released with respect to the total amount of C or N available in the burned material, respectively<sup>197</sup>

Crop production and area harvested were available by state and year from USDA (2011) for all crops (except rice in Florida and Oklahoma, as detailed below). The amount C or N released was used in the following equation to determine the CH<sub>4</sub>, CO, N<sub>2</sub>O and NO<sub>x</sub> emissions from the field burning of agricultural residues:

$$\text{CH}_4 \text{ and CO, or N}_2\text{O and NO}_x \text{ Emissions from Field Burning of Agricultural Residues} = (\text{C or N Released}) \times (\text{Emissions Ratio for C or N}) \times (\text{Conversion Factor})$$

where,

Emissions Ratio = g CH<sub>4</sub>-C or CO-C/g C released, or g N<sub>2</sub>O-N or NO<sub>x</sub>-N/g N released

Conversion Factor = conversion, by molecular weight ratio, of CH<sub>4</sub>-C to C (16/12), or CO-C to C (28/12), or N<sub>2</sub>O-N to N (44/28), or NO<sub>x</sub>-N to N (30/14)

[BEGIN BOX]

#### Box 6-2: Comparison of Tier 2 U.S. Inventory Approach and IPCC (2006) Default Approach

Emissions from Burning of Agricultural Residues were calculated using a Tier 2 methodology that is based on IPCC/UNEP/OECD/IEA (1997) and incorporates crop- and country-specific emission factors and variables. The equation varies slightly in form from the one presented in the IPCC (2006) guidelines, but both equations rely on the same underlying variables. The IPCC (2006) equation was developed to be broadly applicable to all types of biomass burning, and, thus, is not specific to agricultural residues. IPCC (2006) default factors are provided only for four crops (wheat, corn, rice, and sugarcane), while this Inventory analyzes emissions from seven crops. A comparison of the methods and factors used in (1) the current Inventory and (2) the default IPCC (2006) approach was undertaken in the 1990 through 2009 Inventory report to determine the magnitude of the difference in overall estimates resulting from the two approaches. The IPCC (2006) approach was not used because crop-specific emission factors for N<sub>2</sub>O were not available for all crops. In order to maintain consistency of methodology, the IPCC/UNEP/OECD/IEA (1997) approach presented in the Methodology section was used.

The IPCC (2006) default approach resulted in 12 percent higher emissions of CH<sub>4</sub> and 25 percent higher emissions of N<sub>2</sub>O than the estimates in the 1990 through 2009 Inventory. It is reasonable to maintain the current methodology, since the IPCC (2006) defaults are only available for four crops and are worldwide average estimates, while current estimates are based on U.S.-specific, crop-specific, published data.

[END BOX]

Crop production data for all crops except rice in Florida and Oklahoma were taken from USDA's QuickStats service (USDA 2012). Rice production and area data for Florida and Oklahoma, which are not collected by USDA, were estimated separately. Average primary and ratoon crop yields for Florida (Schueneman and Deren 2002) were applied to Florida acreages (Schueneman 1999, 2001; Deren 2002; Kirstein 2003, 2004; Cantens 2004, 2005; Gonzalez 2007 through 2012), and crop yields for Arkansas (USDA 2012) were applied to Oklahoma acreages<sup>198</sup> (Lee 2003 through 2006; Anderson 2008 through 2012). The production data for the crop types whose residues are burned are presented in Table 6-23. Crop weight by bushel was obtained from Murphy (1993).

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This variable is equivalent to (burning efficiency × combustion efficiency).

<sup>198</sup> Rice production yield data are not available for Oklahoma, so the Arkansas values are used as a proxy.

The fraction of crop area burned was calculated using data on area burned by crop type and state<sup>199</sup> from McCarty (2010) for corn, cotton, lentils, rice, soybeans, sugarcane, and wheat.<sup>200</sup> McCarty (2010) used remote sensing data from Moderate Resolution Imaging Spectroradiometer (MODIS) to estimate area burned by crop. National-level area burned data were divided by national-level crop area harvested data to estimate the percent of crop area burned by crop. The average fraction of area burned by crop across all states is shown in Table 6-24. All crop area harvested data were from USDA (2012), except for rice acreage in Florida and Oklahoma, which is not measured by USDA (Schueneman 1999, 2001; Deren 2002; Kirstein 2003, 2004; Cantens 2004, 2005; Gonzalez 2007 through 2012; Lee 2003 through 2006; Anderson 2008 through 2012). Data on crop area burned were only available from McCarty (2010) for the years 2003 through 2007. For other years in the time series, the percent area burned was assumed to be equal to the average percent area burned from the 5 years for which data were available. This average was taken at the crop and national level. Table 6-24 shows these percent area estimates aggregated for the United States as a whole, at the crop level. State-level estimates based on state-level crop area harvested and burned data were also prepared, but are not presented here.

All residue/crop product mass ratios except sugarcane and cotton were obtained from Strehler and Stützel (1987). The datum for sugarcane is from Kinoshita (1988) and that of cotton from Huang et al. (2007). The residue/crop ratio for lentils was assumed to be equal to the average of the values for peas and beans. Residue dry matter fractions for all crops except soybeans, lentils, and cotton were obtained from Turn et al. (1997). Soybean and lentil dry matter fractions were obtained from Strehler and Stützel (1987); the value for lentil residue was assumed to equal the value for bean straw. The cotton dry matter fraction was taken from Huang et al. (2007). The residue C contents and N contents for all crops except soybeans and cotton are from Turn et al. (1997). The residue C content for soybeans is the IPCC default (IPCC/UNEP/OECD/IEA 1997). The N content of soybeans is from Barnard and Kristoferson (1985). The C and N contents of lentils were assumed to equal those of soybeans. The C and N contents of cotton are from Lachnicht et al. (2004). These data are listed in Table 6-25. The burning efficiency was assumed to be 93 percent, and the combustion efficiency was assumed to be 88 percent, for all crop types, except sugarcane (EPA 1994). For sugarcane, the burning efficiency was assumed to be 81 percent (Kinoshita 1988) and the combustion efficiency was assumed to be 68 percent (Turn et al. 1997). Emission ratios and conversion factors for all gases (see Table 6-26) were taken from the *Revised 1996 IPCC Guidelines* (IPCC/UNEP/OECD/IEA 1997).

Table 6-23: Agricultural Crop Production (Gg of Product)

Crop	1990	2005	2007	2008	2009	2010	2011
Corn <sup>a</sup>	201,534	282,263	331,177	307,142	332,549	316,165	313,918
Cotton	3,376	5,201	4,182	2,790	2,654	3,942	3,391
Lentils	40	238	166	109	266	393	215
Rice	7,114	10,132	9,033	9,272	9,972	11,027	8,392
Soybeans	52,416	83,507	72,859	80,749	91,417	90,605	83,172
Sugarcane	25,525	24,137	27,188	25,041	27,608	24,821	26,656
Wheat	74,292	57,243	55,821	68,016	60,366	60,062	54,413

<sup>a</sup> Corn for grain (i.e., excludes corn for silage).

Table 6-24: U.S. Average Percent Crop Area Burned by Crop (Percent)

State	1990	2005	2007	2008	2009	2010	2011
Corn	+	+	+	+	+	+	+
Cotton	1	1	1	1	1	1	1
Lentils	1	+	1	1	1	1	1
Rice	10	6	13	10	10	10	10
Soybeans	+	+	+	+	+	+	+
Sugarcane	32	18	21	32	32	32	32

<sup>199</sup> Alaska and Hawaii were excluded.

<sup>200</sup> McCarty (2009) also examined emissions from burning of Kentucky bluegrass and a general “other crops/fallow” category, but USDA crop area and production data were insufficient to estimate emissions from these crops using the methodology employed in the Inventory. McCarty (2009) estimates that approximately 18 percent of crop residue emissions result from burning of the Kentucky bluegrass and “other” categories.

Wheat	2	2	2	2	2	2	2
+ Less than 0.5 percent							

Table 6-25: Key Assumptions for Estimating Emissions from Field Burning of Agricultural Residues

Crop	Residue/Crop Ratio	Dry Matter Fraction	C Fraction	N Fraction	Burning Efficiency (Fraction)	Combustion Efficiency (Fraction)
Corn	1.0	0.91	0.448	0.006	0.93	0.88
Cotton	1.6	0.90	0.445	0.012	0.93	0.88
Lentils	2.0	0.85	0.450	0.023	0.93	0.88
Rice	1.4	0.91	0.381	0.007	0.93	0.88
Soybeans	2.1	0.87	0.450	0.023	0.93	0.88
Sugarcane	0.2	0.62	0.424	0.004	0.81	0.68
Wheat	1.3	0.93	0.443	0.006	0.93	0.88

Table 6-26: Greenhouse Gas Emission Ratios and Conversion Factors

Gas	Emission Ratio	Conversion Factor
CH <sub>4</sub> :C	0.005 <sup>a</sup>	16/12
CO:C	0.060 <sup>a</sup>	28/12
N <sub>2</sub> O:N	0.007 <sup>b</sup>	44/28
NO <sub>x</sub> :N	0.121 <sup>b</sup>	30/14

<sup>a</sup> Mass of C compound released (units of C) relative to mass of total C released from burning (units of C).

<sup>b</sup> Mass of N compound released (units of N) relative to mass of total N released from burning (units of N).

### Uncertainty and Time-Series Consistency

Due to data and time limitations, uncertainty resulting from the fact that emissions from burning of Kentucky bluegrass and “other” residues are not included in the emissions estimates was not incorporated into the uncertainty analysis. The results of the Tier 2 Monte Carlo uncertainty analysis are summarized in Table 6-27. Methane emissions from field burning of agricultural residues in 2011 were estimated to be between 0.12 and 0.29 Tg CO<sub>2</sub> Eq. at a 95 percent confidence level. This indicates a range of 40 percent below and 42 percent above the 2011 emission estimate of 0.20 Tg CO<sub>2</sub> Eq. Also at the 95 percent confidence level, N<sub>2</sub>O emissions were estimated to be between 0.06 and 0.11 Tg CO<sub>2</sub> Eq., or approximately 30 percent below and 31 percent above the 2011 emission estimate of 0.09 Tg CO<sub>2</sub> Eq.

Table 6-27: Tier 2 Quantitative Uncertainty Estimates for CH<sub>4</sub> and N<sub>2</sub>O Emissions from Field Burning of Agricultural Residues (Tg CO<sub>2</sub> Eq. and Percent)

Source	Gas	2011 Emission Estimate (Tg CO <sub>2</sub> Eq.)	Uncertainty Range Relative to Emission Estimate <sup>a</sup>			
			(Tg CO <sub>2</sub> Eq.)		(%)	
			Lower Bound	Upper Bound	Lower Bound	Upper Bound
Field Burning of Agricultural Residues	CH <sub>4</sub>	0.20	0.12	0.29	-40%	42%
Field Burning of Agricultural Residues	N <sub>2</sub> O	0.09	0.06	0.11	-30%	31%

<sup>a</sup>Range of emission estimates predicted by Monte Carlo Stochastic Simulation for a 95 percent confidence interval.

Methodological recalculations were applied to the entire time series to ensure time-series consistency from 1990 through 2011. Details on the emission trends through time are described in more detail in the Methodology section, above.

## QA/QC and Verification

A source-specific QA/QC plan for field burning of agricultural residues was implemented. This effort included a Tier 1 analysis, as well as portions of a Tier 2 analysis. The Tier 2 procedures focused on comparing trends across years, states, and crops to attempt to identify any outliers or inconsistencies. For some crops and years in Florida and Oklahoma, the total area burned as measured by McCarty (2010) was greater than the area estimated for that crop, year, and state by Gonzalez (2004-2008) and Anderson (2007) for Florida and Oklahoma, respectively, leading to a percent area burned estimate of greater than 100 percent. In such cases, it was assumed that the percent crop area burned for that state was 100 percent.

## Recalculations Discussion

For the current Inventory, the crop production data for 2010 and 2011 were updated relative to the previous report using data from USDA (2012). Rice cultivation data for Florida and Oklahoma, which are not reported by USDA, were updated for 2011 through communications with state experts. These small updates in crop production values resulted in a negligible (less than 0.0 percent) decrease in sector emissions in 2010, and an average decrease in emissions of 0.5 percent from 1990 to 2011. An error was identified and corrected in the formula for cotton area burned. This error affected the percentage of cotton crop area burned for all years, with an average decrease of 7 percent. Overall, the correction had a small effect on 1990 through 2007 emissions, which mostly stayed the same with the exception of a 1 percent decrease in 2007.

## Planned Improvements

Attempts will be made to incorporate state-level estimates of percentage of crop area burned into the uncertainty analysis for future inventories, to make the uncertainty analysis more robust. Further investigation will be also conducted into inconsistent data from Florida and Oklahoma as mentioned in the QA/QC and verification section, and attempts will be made to revise or further justify the assumption of 100 percent of area burned for those crops and years where the estimated percent area burned exceeded 100 percent. The availability of useable area harvested and other data for bluegrass and the “other crops” category in McCarty (2010) will also be investigated, in order to try to incorporate these emissions into the estimate. More crop area burned data are becoming available and will be analyzed for incorporation into the next Inventory report.